

# Prime Polynomial Values of Linear Functions in Short Intervals

Efrat Bank

School of Mathematical Sciences  
Tel-Aviv University

joint with L. Bary-Soroker

# Outline

- 1 **Introduction**
- 2 **Conjectures vs. Theorems**
  - Primes in Short Intervals
  - Primes in Arithmetic Progressions
  - Correlations Between Primes
  - Combined Conjecture
- 3 **Method of proof**
- 4 **Recent related works**

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## The Prime Number Theorem

- Let  $\mathbb{1}$  be the prime characteristic function, i.e.,

$$\mathbb{1}(h) = \begin{cases} 1, & h \text{ is prime} \\ 0, & \text{otherwise.} \end{cases}$$

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- The Prime Number Theorem (PNT):

$$\sum_{0 < h \leq x} \mathbb{1}(h) \sim \int_2^x \frac{dt}{\log t} \sim \frac{x}{\log x}$$

as  $x \rightarrow \infty$ .

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*(prime polynomial = monic + irreducible polynomial)*



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*In comparison with PNT, we replace:*

- $0 < h \leq x \leftrightarrow h \in \mathcal{M}(k, q)$
- $|[0, x]| = x \leftrightarrow |\{h \in \mathcal{M}(k, q)\}| = q^k$
- $\log x \leftrightarrow k$

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- Assuming the Riemann Hypothesis, it holds for  $\Phi(x) \sim x^{\frac{1}{2} + \epsilon}$ .

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- Assuming the Riemann Hypothesis, it holds for  $\Phi(x) \sim x^{\frac{1}{2} + \epsilon}$ .
- For  $\Phi(x) \sim \log^2 x$  Selberg showed (assuming RH) that it is true for almost every  $x$ , however, Maier showed that it does not hold for all  $x$ .



## Conjecture (Primes in short intervals)

$$\sum_{h \in I} \mathbb{1}(h) \sim \frac{x^\epsilon}{\log x}.$$

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- The barrier is  $\epsilon = \frac{1}{2}$ .

## Prime Polynomials in short intervals

- An interval  $\mathcal{I}$  around  $f_0 \in \mathcal{M}(k, q)$  is defined as

$$\mathcal{I} = \mathcal{I}(f_0, m) = \{h \in \mathbb{F}_q[t] : \|f_0 - h\| \leq q^m\} = f_0 + \mathcal{P}_{\leq m}$$



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- We want to estimate the number of primes in short intervals, i.e., when  $m < k$ .

**Theorem (B., Bary-Soroker, Rosenzweig)**

Let  $f_0 \in \mathcal{M}(k, q)$ ,  $3 \leq m < k$ , and  $\mathcal{I} = \mathcal{I}(f_0, m)$ . Then,

$$\sum_{f \in \mathcal{I}} \mathbb{1}(f) = \frac{\#\mathcal{I}}{k} (1 + O_k(q^{-1/2})),$$

as  $q \rightarrow \infty$  and where the constant depends only on  $k$ .

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- We also deal with the cases  $m < 3$ .
  - For  $m = 2$  we show that it holds under additional conditions.
  - For  $m = 1, 0$  we show that it fails.



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# Primes in Arithmetic Progressions

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## Primes in Arithmetic Progressions

- *Let  $a$  and  $b$  be fixed, relatively prime integers.*
- *The Prime Number Theorem for Arithmetic Progressions:*

$$\sum_{\substack{0 < h < x \\ h \equiv a \pmod{b}}} \mathbb{1}(h) \sim \frac{1}{\varphi(b)} \cdot \frac{x}{\log(x)}$$



## Conjecture (Primes in AP with large modulus)

For every  $\delta > 0$ ,

$$\sum_{\substack{0 < h < x \\ h \equiv a \pmod{b}}} \mathbb{1}(h) \sim \frac{1}{\varphi(b)} \cdot \frac{x}{\log(x)}$$

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- Assuming GRH, the above remains true when  $b < x^{\frac{1}{2}-o(1)}$ .
- Bombieri-Vinogradov: true for almost all  $b < x^{\frac{1}{2}-o(1)}$ .

**Theorem (B., Bary-Soroker, Rosenzweig)**

Let  $k$  be a fixed integer and  $\delta > 0$ . Then,

$$\sum_{\substack{h \in \mathcal{M}(k, q) \\ h \equiv a \pmod{b}}} \mathbb{1}(h) \sim \frac{1}{\varphi(b)} \cdot \frac{q^k}{k}$$

holds uniformly for all relatively prime  $a(t), b(t) \in \mathbb{F}_q[t]$  with  $\deg b < k(1 - \delta)$



## Conjecture (Primes in AP in short intervals)

Let  $L(X) = bX + a$ ,  $a, b \in \mathbb{Z}$

$$\sum_{h \in [x, x+x^\epsilon]} \mathbb{1}(L(h)) \sim \frac{b}{\varphi(b)} \cdot \frac{x^\epsilon}{\log(L(x))}, \quad x \rightarrow \infty,$$

where  $0 < a < b$ ,  $b^\delta < x$  or  $b < 0$ ,  $|b|^{1+\delta} < a$  and  $|b|x^\alpha < a < |b|x^\beta$  for  $1 < \alpha < \beta$ .



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## Correlations between primes

### Conjecture (The Hardy-Littlewood n-tuple conjecture)

$$\sum_{0 < h \leq x} \mathbb{1}(h + a_1) \cdots \mathbb{1}(h + a_n) \sim \mathfrak{S}(a_1, \dots, a_n) \frac{x}{(\log x)^n}, \quad x \rightarrow \infty,$$

where the  $a_i$ 's are distinct and  $\mathfrak{S}(a_1, \dots, a_n)$  is a constant depending on the  $a_i$ 's.



## Hardy-Littlewood for function fields

### Theorem (Hardy-Littlewood for function fields)

$$\sum_{h \in \mathcal{M}(k, q)} \mathbb{1}(h + a_1) \cdots \mathbb{1}(h + a_n) = \frac{q^k}{k^n} (1 + O_{k,n}(q^{-1/2})),$$

holds uniformly on all  $a_1, \dots, a_n \in \mathbb{F}_q[t]$  of degrees  $\deg(a_i) < k$  and for a fixed  $k$ .



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- *Bender and Pollack (2009) proved this for the case  $n = 2$  and  $q$  odd.*



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- *Dan Carmon (2015) resolved the above for fields of characteristic 2.*

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Let  $L_i = b_i X + a_i$ ,  $i = 1, \dots, n$  be distinct primitive linear functions, i.e,  $\gcd(a_i, b_i) = 1$ . One may expect that,

### Conjecture (Combined conjecture)

$$\sum_{h \in [x, x+x^\epsilon]} \mathbb{1}(L_1(h)) \cdots \mathbb{1}(L_n(h)) \sim \mathfrak{S}(L_1, \dots, L_n) \frac{x^\epsilon}{\prod_{i=1}^n \log(L_i(x))},$$

holds uniformly, when  $x \rightarrow \infty$  and  $\mathfrak{S}(L_1, \dots, L_n)$  is a constant depending on the  $L_i$ 's.





## Prime polynomial values of several linear functions in short intervals

### Theorem (B., Bary-Soroker)

Let  $B > 0$  and  $f_0 \in \mathcal{M}(k, q)$ ,  $2 \leq m < k$ ,  $\mathcal{I}(f_0, m)$ . Then,

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holds uniformly as  $q \rightarrow \infty$  odd, for:



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## Main idea

- *The main idea is to consider a generic polynomial  $\mathcal{F} \in \mathcal{I}(f_0, m)$ . This means that we think of such a polynomial as a polynomial of the form*

$$\mathcal{F}(\mathbf{A}, t) = f_0(t) + \sum_{i=0}^m A_i t^i \in \mathbb{F}_q[A_0, \dots, A_m][t]$$

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- *We are interested in the number of substitutions  $A_i \mapsto a_i$  where  $a_i \in \mathbb{F}_q$  such that  $L_i(\mathcal{F}(a_0, \dots, a_m, t))$ ,  $i = 1, \dots, n$  are all prime polynomials.*



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- *Using this idea, the proof is divided into two main parts:*
  - *Computing Galois groups.*
  - *Counting argument.*

## Computing Galois group

### Proposition

Let  $L_1, \dots, L_n$  be distinct primitive linear functions and  $f_0 \in \mathbb{F}[t]$  a monic polynomial of degree  $k$ . Let  $\mathcal{F} = f_0 + \sum_{j=0}^m A_j t^j$  where  $2 \leq m < k$ . Then,

$$\text{Gal} \left( \prod_{i=1}^n L_i(\mathcal{F}), \mathbb{F}(\mathbf{A}) \right) = \prod_{i=1}^n \text{Gal}(L_i(\mathcal{F}), \mathbb{F}(\mathbf{A})) = \mathcal{S}_{k_1} \times \dots \times \mathcal{S}_{k_n},$$

where  $k_i = \deg(L_i(f_0))$ .

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- $\text{Gal}(L_i(\mathcal{F}), \mathbb{F}(\mathbf{A})) = S_{k_i}$  where  $k_i = \deg(L_i(f_0))$ 
  - $L_i(\mathcal{F})$  is separable in  $t$  and irreducible in the ring  $\mathbb{F}(\mathbf{A})[t]$ .

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### Proof:

- The splitting fields of  $L_i(\mathcal{F})$  are linearly disjoint.
- $\text{Gal}(L_i(\mathcal{F}), \mathbb{F}(\mathbf{A})) = S_{k_i}$  where  $k_i = \deg(L_i(f_0))$ 
  - $L_i(\mathcal{F})$  is separable in  $t$  and irreducible in the ring  $\mathbb{F}(\mathbf{A})[t]$ .
  - The Galois group of  $L_i(\mathcal{F})$  over  $\mathbb{F}(\mathbf{A})$  is doubly transitive.

## Sketch proof of the proposition

### Proof:

- The splitting fields of  $L_i(\mathcal{F})$  are linearly disjoint.
- $\text{Gal}(L_i(\mathcal{F}), \mathbb{F}(\mathbf{A})) = S_{k_i}$  where  $k_i = \deg(L_i(f_0))$ 
  - $L_i(\mathcal{F})$  is separable in  $t$  and irreducible in the ring  $\mathbb{F}(\mathbf{A})[t]$ .
  - The Galois group of  $L_i(\mathcal{F})$  over  $\mathbb{F}(\mathbf{A})$  is doubly transitive.
  - The Galois group of  $L_i(\mathcal{F})$  contains a transposition.

## Counting argument

### Proposition (An explicit Chebotarev density theorem)

Let

$$\mathcal{H}(\mathbf{A}, t) = \mathcal{F}_1 \cdots \mathcal{F}_n \in \mathbb{F}_q[A_0, \dots, A_m][t]$$

Assume that  $\text{Gal}(\mathcal{H}, \mathbb{F}_q(\mathbf{A})) = S_{k_1} \times \cdots \times S_{k_n}$  where  $k_i = \deg_t(\mathcal{F}_i)$ . Then,

$$\sum_{\mathbf{a} \in \mathbb{F}_q^{m+1}} \mathbb{1}(\mathcal{F}_1(\mathbf{a}, t)) \cdots \mathbb{1}(\mathcal{F}_n(\mathbf{a}, t)) = \frac{q^{m+1}}{\prod_{i=1}^n k_i} (1 + O_{m,B}(q^{-1/2}))$$



# Outline

- 1 Introduction
- 2 **Conjectures vs. Theorems**
  - Primes in Short Intervals
  - Primes in Arithmetic Progressions
  - Correlations Between Primes
  - Combined Conjecture
- 3 Method of proof
- 4 **Recent related works**

- *Keating-Rudnick (2014)- The variance of primes in short intervals.*

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- *Carmon-Rudnick, Carmon (2014,2015)- Autocorrelations of the Mobius function and Chowla's conjecture.*

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- *Entin (2015)- Bateman-Horn conjecture.*
- *Rodgers (2015)- The covariance of almost-primes.*

