

Mathematical Modeling All Solid State Batteries

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Introduction

Derivation of the 1-D model equations

- Model assumptions
- Transport equations
- Boundary conditions

Classification

Numerics

- Steady state solution
- Transient solution

Towards Optimization

- Weak formulation
- Performance criteria

Incorporating mechanical effects

- Cahn-Hilliard Approach
- Electrochemical interfacial kinetics

Introduction

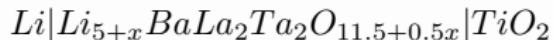


ulm university universität
uulm

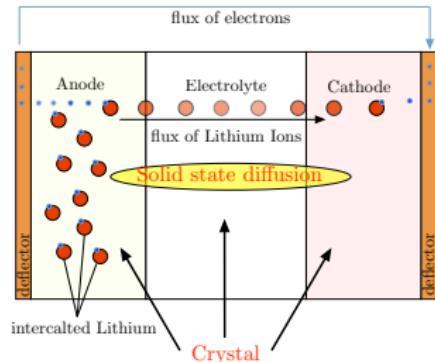


Project LISA: Lithium insertion compounds for solar devices

- Part of the BMBF network: fundamental research on renewable energies
- Compound Project: Uni Kiel, TU Darmstadt, ZSW Ulm, Uni Ulm
- First example for considered material-configuration:



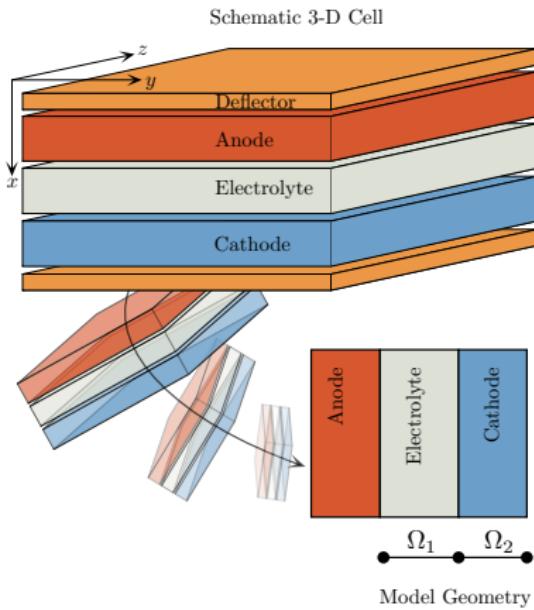
Discharge of a Lithium Ion Cell



Mathematical modeling and optimization of all solid state thin film lithium ion batteries

**Part I: Derivation and Numerics
of a model
for all solid-state lithium ion batteries**

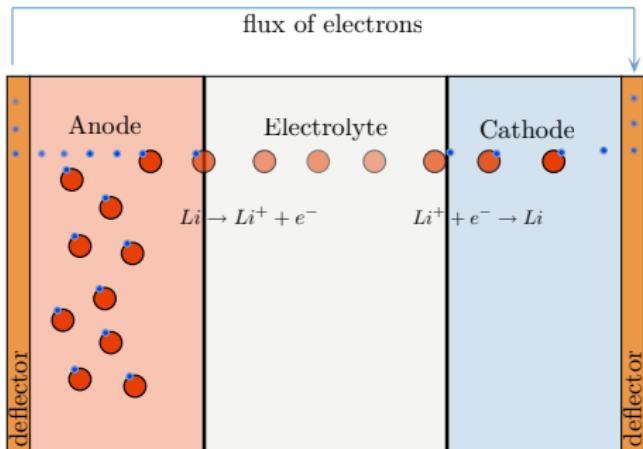
Model assumptions



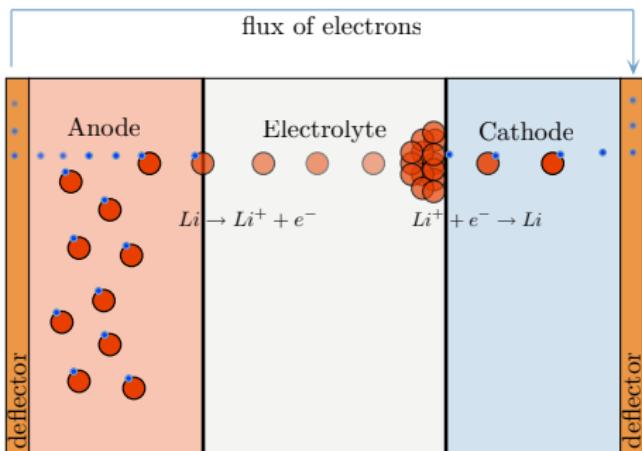
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Model assumptions

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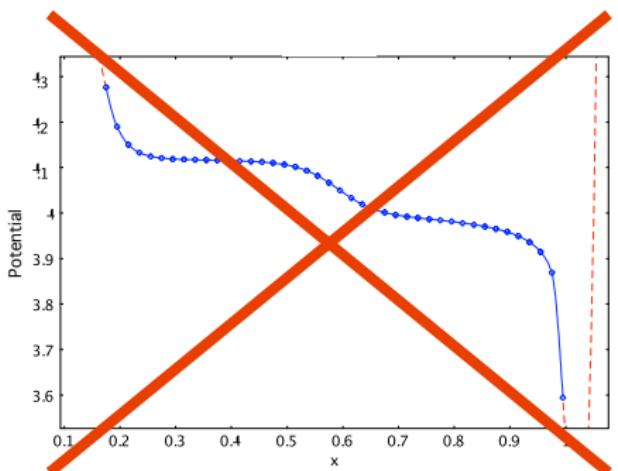


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 $\Rightarrow D_{Li^+} = const.$

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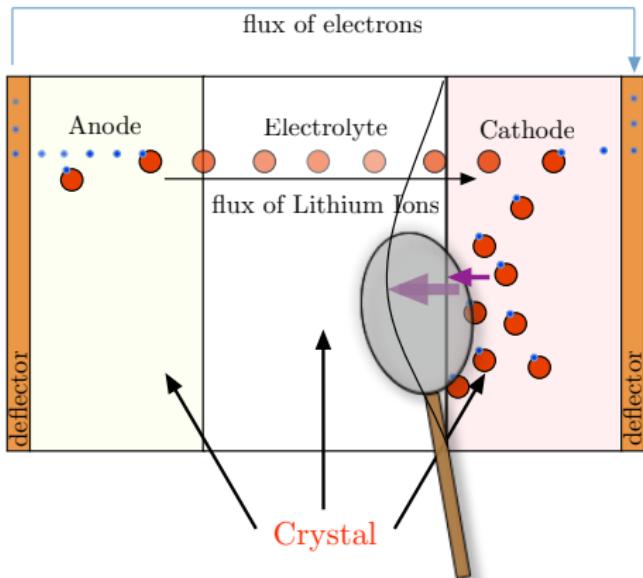
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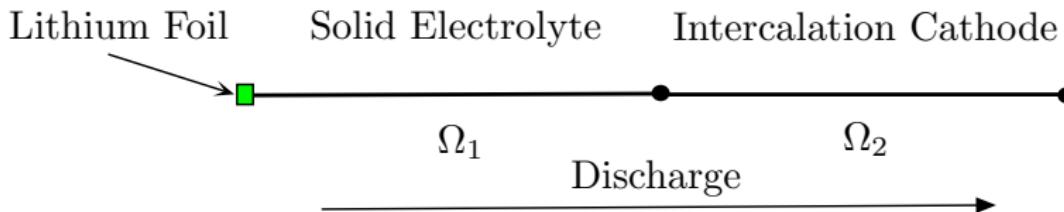
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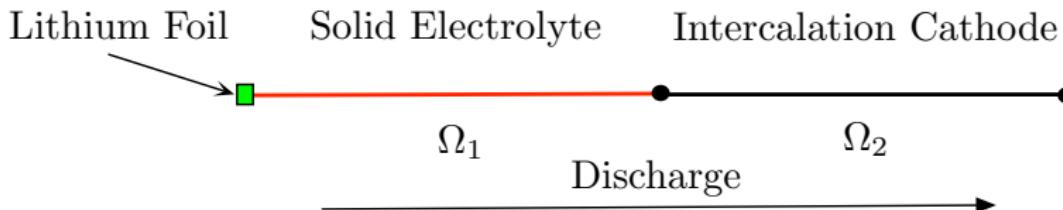


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- Potentiostatic discharge
- No potential variation as function of status of charge (SOC) or intercalated lithium
- No mechanical effects of lithium intercalation

Overview

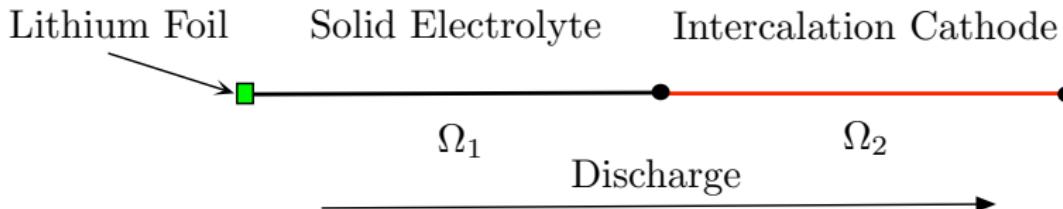


Overview



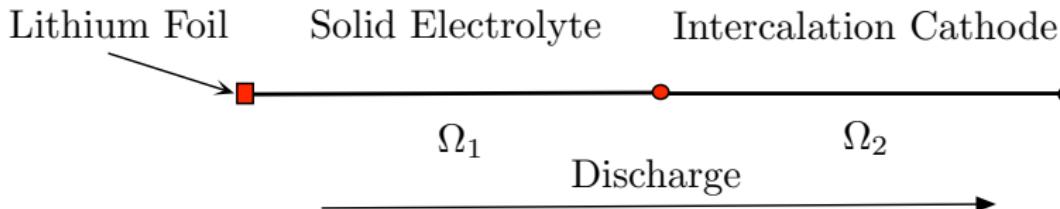
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- Electric potential
- Variables:
 $C_{Li^+}(X, t), \Phi(X, t)$

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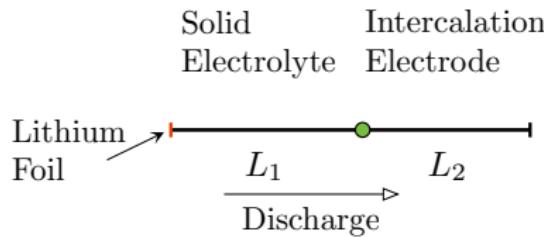
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- Variable:
 $C_{Li}(X, t)$
- Chemical reaction (deintercalation)
- Stern Layer potential drop
- Chemical reaction (intercalation)
- Stern Layer potential drop

Transport equations

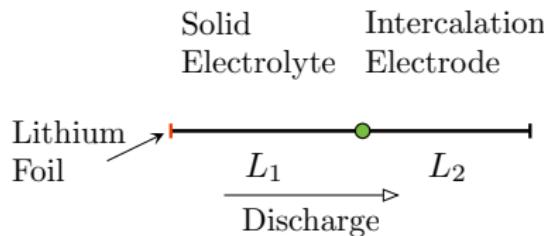


Continuity equation:

$$\frac{\partial C_i}{\partial t} = -\frac{\partial J_i}{\partial X}, \quad i = Li^+, Li$$

- C_i - concentration of species i
- J_i - flux of species i in

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Solid electrolyte

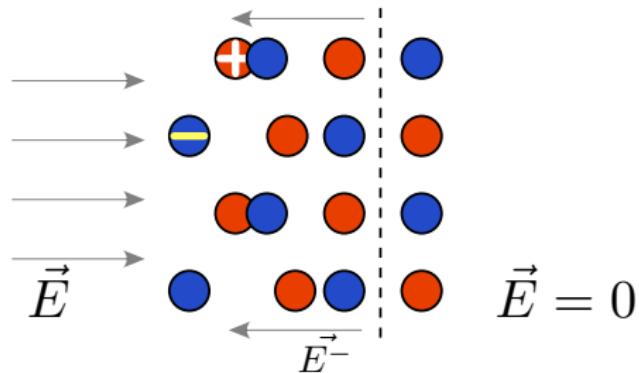
Nernst Planck flux: $J_{Li^+} = -D_{Li^+} \frac{\partial C_{Li^+}}{\partial X} - B_{Li^+} C_{Li^+} \frac{\partial \Phi}{\partial X}$

Poisson equation: $-\frac{\partial}{\partial X} \left(\varepsilon_{E\ell} \frac{\partial \Phi}{\partial X} \right) = \rho_{E\ell}$

Intercalation electrode

Fickian flux: $J_{Li} = -D_{Li} \frac{\partial C_{Li}}{\partial X}$

Electrostatic Field



Solid electrolyte

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$$= F(C_{Li^+} - \underbrace{C_{Anions}}_{=fixed})$$

Non-dimensional transport equations

Non-dimensional variables without subindices.

Solid electrolyte

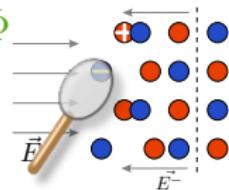
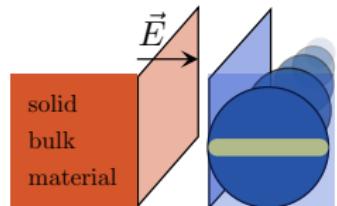
$$\text{Nernst Planck equation: } \frac{\partial}{\partial \tau} c = \nabla \cdot (\mathbf{A}_1 \nabla c + \mathbf{A}_1 c \nabla \Phi) \quad \text{in } \mathcal{Q}_1 := \Omega_1 \times (0, T)$$

$$\text{Poisson equation: } -\Delta \Phi = \frac{1}{2\varepsilon_{eff}^2} (c - c_{Anion}) \quad \text{in } \mathcal{Q}_1 := \Omega_1 \times (0, T)$$

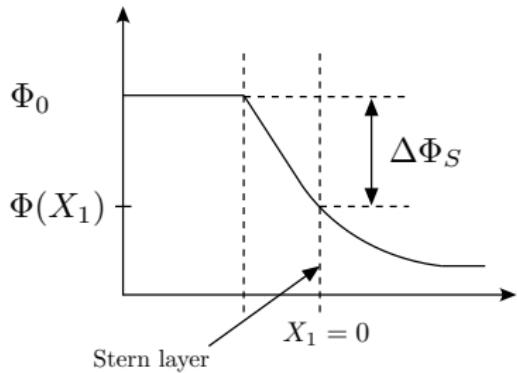
Intercalation electrode

$$\text{Diffusion equation: } \frac{\partial}{\partial \tau} \rho = \nabla \cdot (\mathbf{A}_2 \nabla \rho) \quad \text{in } \mathcal{Q}_2 := \Omega_2 \times (0, T)$$

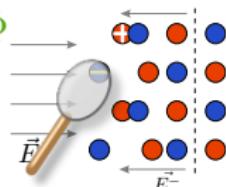
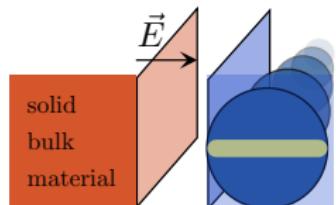
Derivation of Robin Boundary conditions for Φ



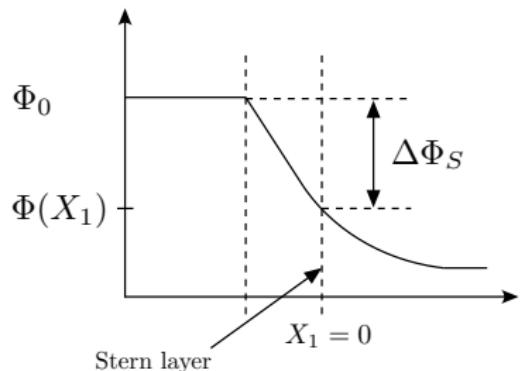
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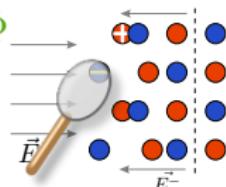
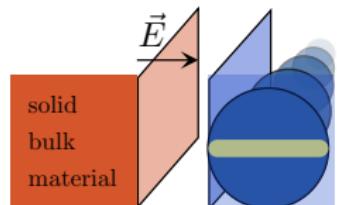


Treat the Stern Layer as (plate) capacitor

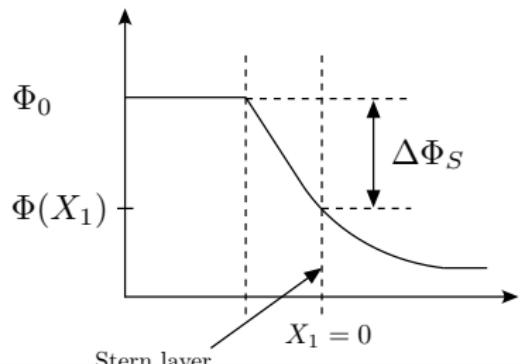
$$\hat{C}_S = \frac{Q}{\Delta\Phi_S} = \frac{1}{\Delta\Phi_S} A \varepsilon_0 \varepsilon_r E$$

$$\Rightarrow \Delta\Phi_S = -\frac{1}{C_S} \varepsilon_0 \varepsilon_r \frac{\partial \Phi}{\partial X_1} \Big|_{X_1=X_R}$$

Derivation of Robin Boundary conditions for Φ



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$$\begin{aligned} C_S &= \frac{Q}{\Delta\Phi_S} = \frac{1}{\Delta\Phi_S} A \varepsilon_0 \varepsilon_r E \\ \Rightarrow \Delta\Phi_S &= -\frac{1}{C_S} \varepsilon_0 \varepsilon_r \frac{\partial \Phi}{\partial X_1} \Big|_{X_1=X_R} \end{aligned}$$

Robin Boundary condition for Φ : (Bazant, Chu, Bayly, 2005)

$$\frac{\partial \Phi}{\partial n} + \frac{C_S}{\varepsilon_0 \varepsilon_r} \Phi \Big|_{X_1=X_R} = \frac{C_S}{\varepsilon_0 \varepsilon_r} \Phi_0$$

Mathematical description of chemical reactions

Basics:

Assume a first order redox reaction $A \rightleftharpoons B$

$$\frac{\partial C_A}{\partial t} = \underbrace{-k_f C_A}_{A \rightarrow B} + \underbrace{k_b C_B}_{B \rightarrow A}$$

- C_A, C_B - concentrations of A, B ,
- k_f, k_b - reaction rate coefficients.

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Transition state theory:

$$k_i = \frac{k_B T}{h} \exp \left(\frac{-G_i^{\ddagger 0}}{RT} \right)$$

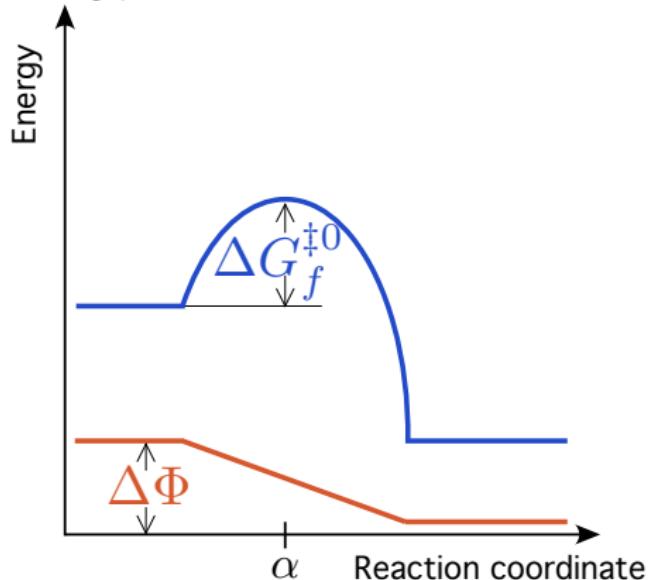
with

- $G_i^{\ddagger 0}$ - Gibbs free energy of activation,
- T - Temperature,
- k_B - Boltzmann constant,
- h - Planck constant.

Mathematical description of chemical reactions

Electrochemistry:

Chemical reactions are accelerated due to electric potential differences between reacting phases.



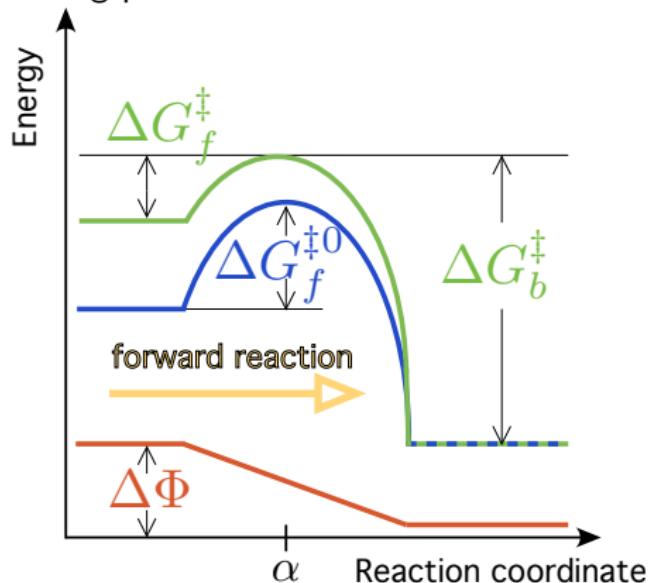
$$k_f = \frac{k_B T}{h} \exp\left(\frac{-G_f^{\ddagger 0}}{RT}\right)$$

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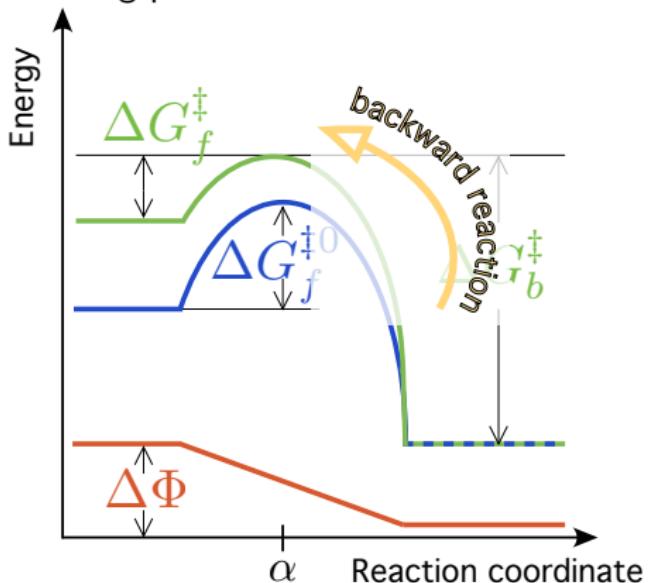
$$k_f = \frac{k_B T}{h} \exp\left(\frac{-(G_f^{\ddagger 0} - \alpha \Delta \Phi)}{RT}\right)$$

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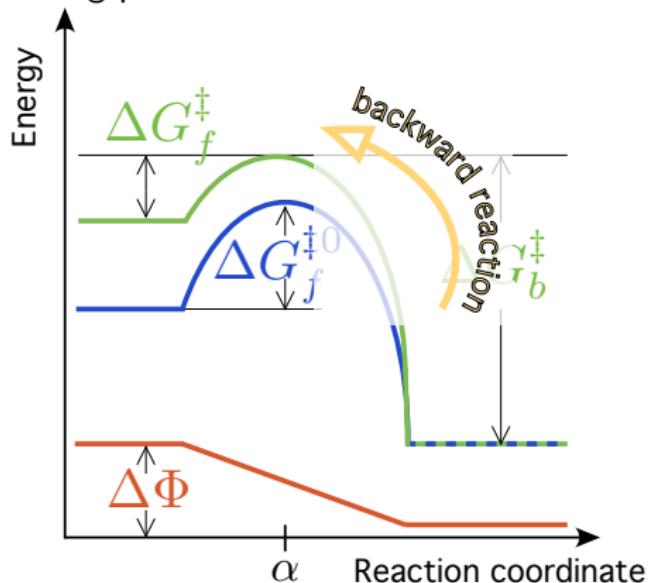
$$k_f = \hat{k}_f \exp\left(\frac{+\alpha\Delta\Phi}{RT}\right)$$

$$k_b = \frac{k_B T}{h} \exp\left(\frac{-(G_b^{\ddagger 0} + (1 - \alpha)\Delta\Phi)}{RT}\right)$$

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Frumkin-Butler-Volmer equation

$$\frac{\partial C_A}{\partial t} = -\hat{k}_f \cdot C_A \cdot \exp(\alpha \Delta \Phi) + \hat{k}_b \cdot C_B \cdot \exp(-(1-\alpha) \Delta \Phi)$$

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- $\Delta \Phi_S(X, t) = \Phi_0 - \Phi(X, t)$ - potential drop in the Stern layer
- $C_i(X, t)$ - concentration of species $i = A, B$

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- $\Delta \Phi_S(X, t) = \Phi_0 - \Phi(X, t)$ - potential drop in the Stern layer
- $C_i(X, t)$ - concentration of species $i = A, B$
- With $\eta = \Delta \Phi - \Delta \Phi^{eq}$ the reaction rate is given by the classical Butler-Volmer equation (Doyle, Fuller, Newman, 1993)

Relate (surface) concentration to concentration flux

Definition: Surface concentration (in a continuum mechanical sense)

$$\tilde{C} := C(X) \Big|_{X \in \Sigma_A} d_V \quad \left[\frac{\text{mol}}{\text{m}^2} \right]$$

Surface reaction:

$$\frac{\partial \tilde{C}_A}{\partial t} = -k_f \tilde{C}_A + k_b \tilde{C}_B$$

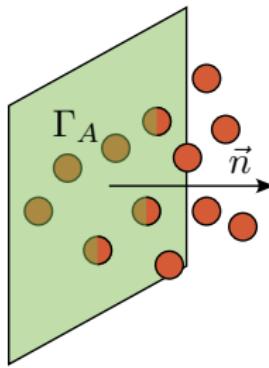
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Interpretation: Surface reaction as **outward** flux of C_A on $\Sigma_A := \Gamma_A \times (0, T)$.

$$nJ_A =$$

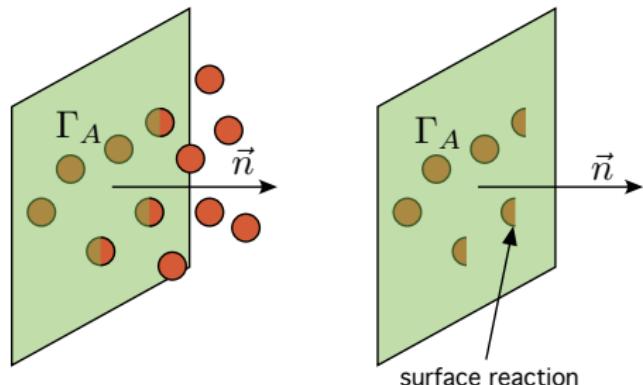
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$$nJ_A = - \frac{\partial \tilde{C}_A}{\partial t}$$

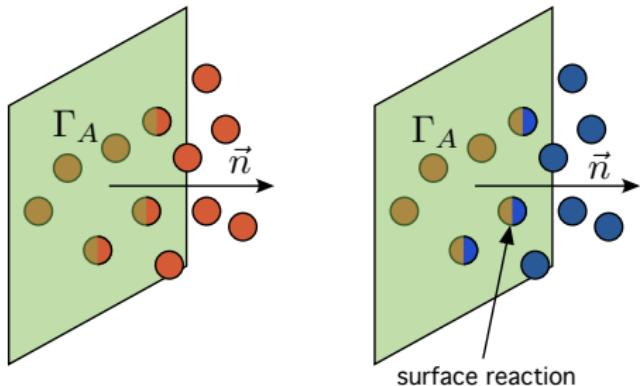
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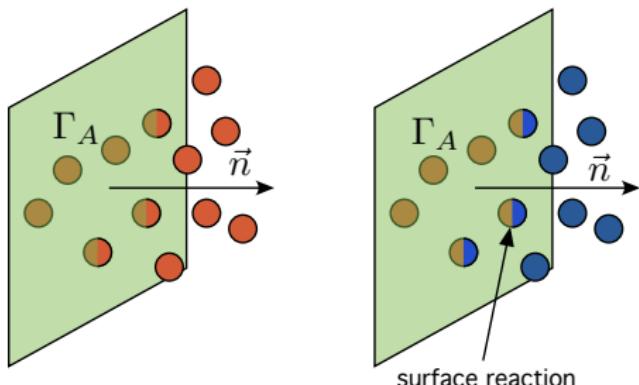
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Neumann Boundary Condition for C_A :

$$nJ_A = \hat{k}_f d_V [C_A \exp(\alpha(\Phi_0 - \Phi))] |_{\Sigma_A} - \hat{k}_b d_V [C_B \exp(-(1-\alpha)(\Phi_0 - \Phi))] |_{\Sigma_A}$$

Classification - In contrast to...

... Bazant or other Poisson-Nernst-Planck (PNP) systems for semiconductors

- Model for a complete time dependent battery discharge
- PNP system coupled with intercalation electrode
- Frumkin-Butler-Volmer equation as coupling boundary condition for intercalation

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... Newman

- Solid electrolyte with fixed anion structure
- Double layer potential drop:
 - ... diffuse: calculated with Poisson equation
 - ... Stern: calculated with Robin boundary conditions
- Frumkin-Butler-Volmer equation: reaction accelerated due to Stern layer potential drop
- Advantage/Disadvantage: based on non measurable parameters

Known mathematical Results for the related models ...

...for nonstationary PNP systems:

- with homogeneous Neumann boundary conditions
 - Existence of a unique weak solution (Gajewsky, Gröger, 1986),
 - Asymptotic convergence to steady state (Biler, Hebisch, Nadzieja, 1994) with exponential rate (Arnold, Markowich, Toscani, 2000),
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 - **Existence of a unique weak solution to the stationary problem**

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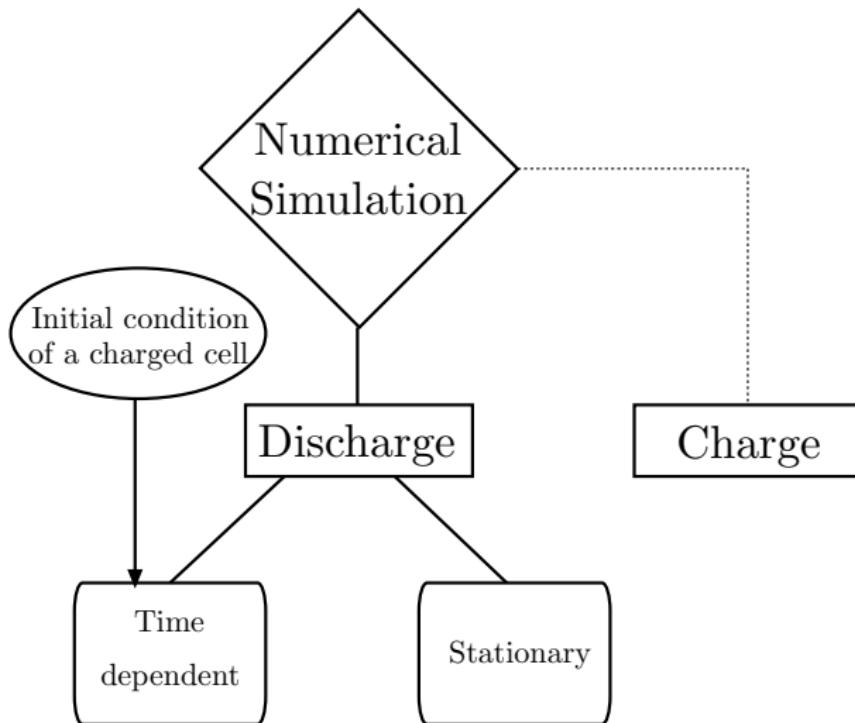
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...for the macroscopic Newman system:

- Local existence of a unique weak solution for the multidimensional case
- Global existence of a unique weak solution in the 1-D case

Numerical simulation - Overview



Initial Condition of a charged cell

Stationary system in the electrolyte ($\partial_\tau c = 0$):

$$0 = \frac{\partial^2}{\partial x_1^2} c(\cdot, \tau) + \frac{\partial}{\partial x_1} \left(c(\cdot, \tau) \frac{\partial}{\partial x_1} \varphi(\cdot, \tau) \right),$$

$$0 = \varepsilon^2 \frac{\partial^2}{\partial x_1^2} \varphi(\cdot, \tau) + \frac{1}{2} (c(\cdot, \tau) - c_A).$$



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Boundary conditions on $x_1 \in \{0, 1\}$:

$$\frac{\partial}{\partial x_1} c(x_1, \tau) + c(x_1, \tau) \frac{\partial}{\partial x_1} \varphi(x_1, \tau) = 0,$$

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with

- $\lambda_S := \varepsilon_0 \varepsilon_r / C_S$,
- $\gamma := \lambda_S / \lambda_D$,
- $\lambda_D := \sqrt{\frac{\varepsilon_b R T}{2 F^2 C_{Li+}^{bulk}}}$.



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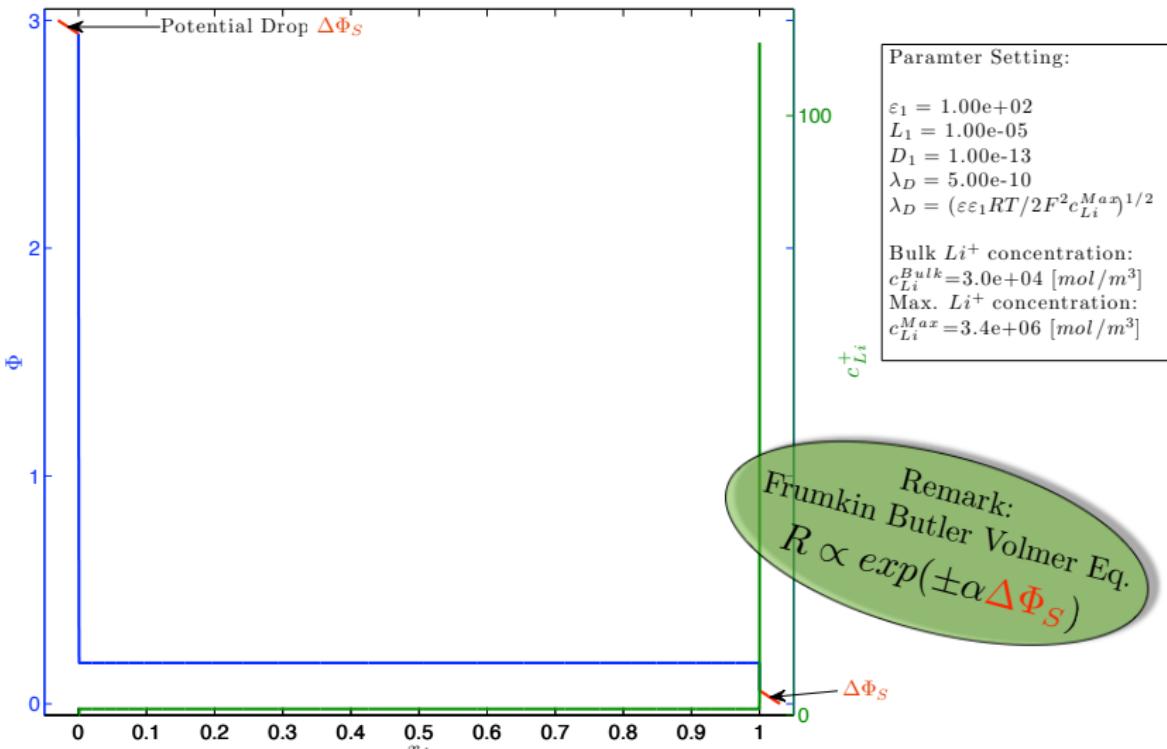
Additional weak constraint

$$\int_0^1 c(x_1) dx_1 = c_A.$$

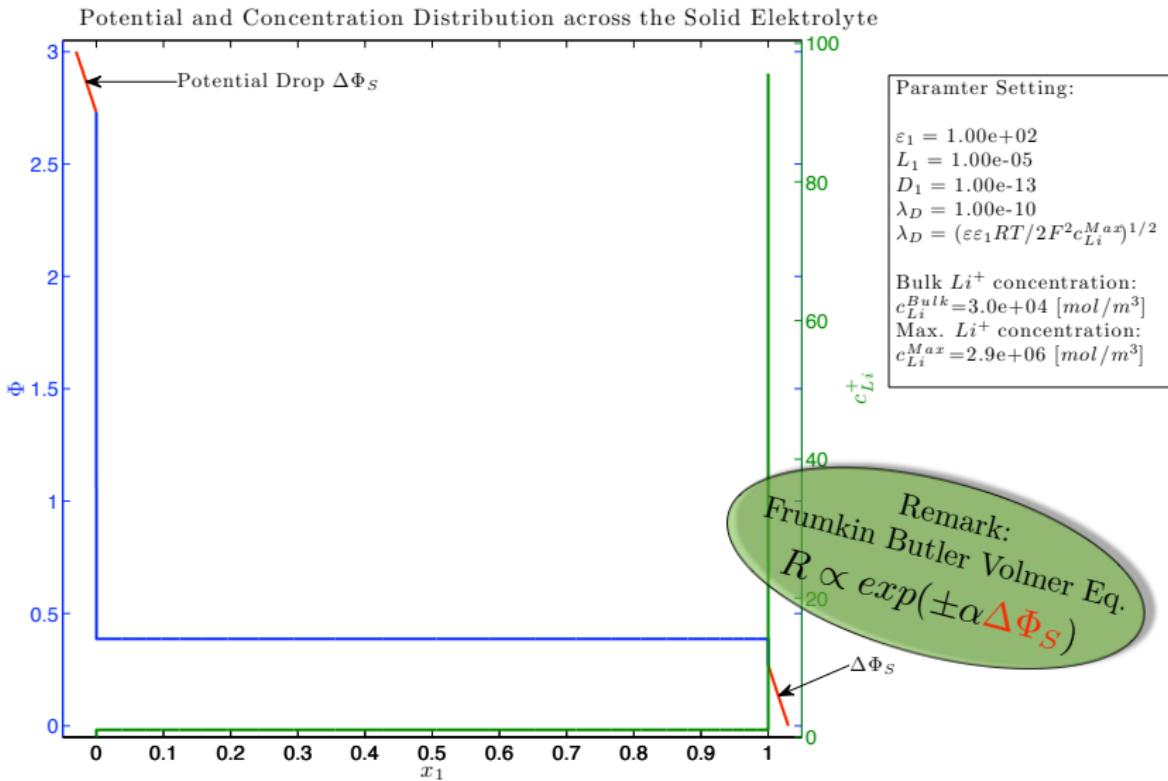
Stationary solution with reaction - Variation of λ_D



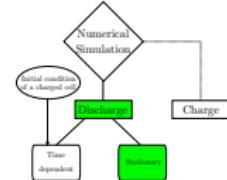
Potential and Concentration Distribution across the Solid Electrolyte



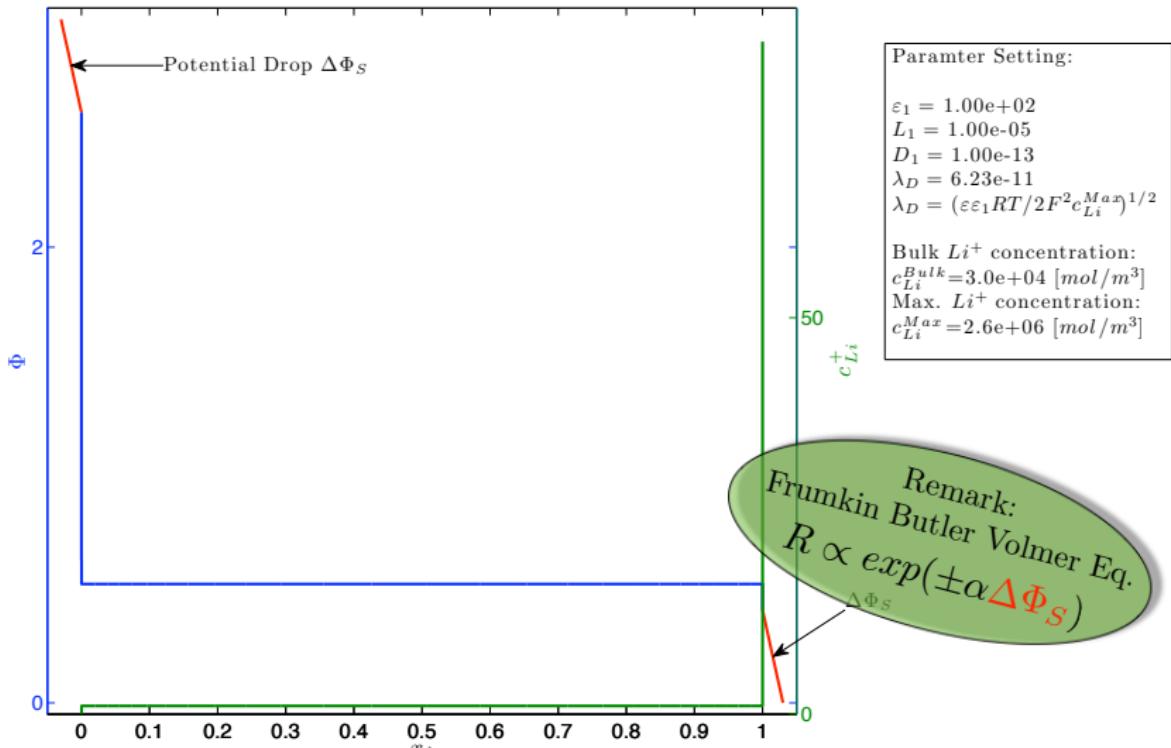
Stationary solution with reaction - Variation of λ_D



Stationary solution with reaction - Variation of λ_D



Potential and Concentration Distribution across the Solid Electrolyte



Model equations for discharge

d-dimensional equation system

$$\frac{\partial}{\partial \tau} c = \nabla \cdot \mathbf{A}_1 (\nabla c + c \nabla \varphi) \quad \text{in } \mathcal{Q}_1,$$

$$0 = \Delta \varphi + f(c) \quad \text{in } \mathcal{Q}_1,$$

$$\frac{\partial}{\partial \tau} \rho = \nabla \cdot \mathbf{A}_2 \nabla \rho \quad \text{in } \mathcal{Q}_2,$$

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Boundary conditions

$$\begin{aligned}n \cdot \mathbf{A}_1 (\nabla c + c \nabla \varphi) &= R(c, \rho, \varphi) && \text{on } \Sigma_1, \\ \frac{\partial \varphi}{\partial n} + \alpha \varphi &= g && \text{on } \Sigma_1, \\ n \cdot \mathbf{A}_2 \nabla \rho &= R(c, \rho, \varphi) && \text{on } \Sigma_2,\end{aligned}$$

Initial values

$$\begin{aligned}c(x, 0) &= c_0(x) && \text{in } \Omega_1, \\ \rho(x, 0) &= \rho_0(x) && \text{in } \Omega_2,\end{aligned}$$

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with

- $f(c) := 1/(2\varepsilon^2)(c - c_A)$,

Boundary conditions

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- $f(c) := 1/(2\varepsilon^2)(c - c_A)$,
- $R(c, \rho, \varphi) := \begin{cases} k_{c,1} c e^{\alpha_{c1}(\varphi_0 - \varphi)} - k_{a,1} c_M e^{-\alpha_{a1}(\varphi_0 - \varphi)}, & \text{on } \Sigma_{11}, \\ k_{c,2} c e^{-\alpha_{c2}\varphi} - k_{a,2} \rho_N \rho e^{\alpha_{a2}\varphi}, & \text{on } \Sigma_{12}, \end{cases}$

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Model equations for discharge

d-dimensional equation system

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with

- $f(c) := 1/(2\varepsilon^2)(c - c_A)$,
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- $g := \begin{cases} \varphi_0, & \text{on } \Sigma_{11}, \\ 0, & \text{on } \Sigma_{12}, \end{cases}$
- $\alpha := 1/(\gamma\varepsilon)$.

Boundary conditions

$$\begin{aligned}n \cdot \mathbf{A}_1 (\nabla c + c \nabla \varphi) &= R(c, \rho, \varphi) && \text{on } \Sigma_1, \\ \frac{\partial \varphi}{\partial n} + \alpha \varphi &= g && \text{on } \Sigma_1, \\ n \cdot \mathbf{A}_2 \nabla \rho &= R(c, \rho, \varphi) && \text{on } \Sigma_2,\end{aligned}$$

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- $g := \begin{cases} \varphi_0, & \text{on } \Sigma_{11}, \\ 0, & \text{on } \Sigma_{12}, \end{cases}$
- $\alpha := 1/(\gamma\varepsilon)$. Additional isolation boundary conditions on all other boundaries

Boundary conditions

$$\begin{aligned}n \cdot \mathbf{A}_1(\nabla c + c \nabla \varphi) &= R(c, \rho, \varphi) && \text{on } \Sigma_1, \\ \frac{\partial \varphi}{\partial n} + \alpha \varphi &= g && \text{on } \Sigma_1, \\ n \cdot \mathbf{A}_2 \nabla \rho &= R(c, \rho, \varphi) && \text{on } \Sigma_2,\end{aligned}$$

Initial values

$$\begin{aligned}c(x, 0) &= c_0(x) && \text{in } \Omega_1, \\ \rho(x, 0) &= \rho_0(x) && \text{in } \Omega_2,\end{aligned}$$

Differences to 1-D case

Model equations for discharge

d-dimensional equation system

$$\begin{aligned}\frac{\partial}{\partial \tau} c &= \nabla \cdot \mathbf{A}_1 (\nabla c + c \nabla \varphi) && \text{in } \mathcal{Q}_1, \\ 0 &= \Delta \varphi + f(c) && \text{in } \mathcal{Q}_1, \\ \frac{\partial}{\partial \tau} \rho &= \nabla \cdot \mathbf{A}_2 \nabla \rho && \text{in } \mathcal{Q}_2,\end{aligned}$$

with

- $f(c) := 1/(2\varepsilon^2)(c - c_A)$,
- $R(c, \rho, \varphi) := \begin{cases} k_{c,1} c e^{\alpha_{c_1}(\varphi_0 - \varphi)} - k_{a,1} c_M e^{-\alpha_{a_1}(\varphi_0 - \varphi)}, & \text{on } \Sigma_{1_1}, \\ k_{c,2} c e^{-\alpha_{c_2} \varphi} - k_{a,2} \rho_N \rho e^{\alpha_{a_2} \varphi}, & \text{on } \Sigma_{1_2}, \end{cases}$
- $g := \begin{cases} \varphi_0, & \text{on } \Sigma_{1_1}, \\ 0, & \text{on } \Sigma_{1_2}, \end{cases}$
- $\alpha := 1/(\gamma\varepsilon)$.

Boundary conditions

$$\begin{aligned}n \cdot \mathbf{A}_1 (\nabla c + c \nabla \varphi) &= R(c, \rho, \varphi) && \text{on } \Sigma_1, \\ \frac{\partial \varphi}{\partial n} + \alpha \varphi &= g && \text{on } \Sigma_1, \\ n \cdot \mathbf{A}_2 \nabla \rho &= R(c, \rho, \varphi) && \text{on } \Sigma_2,\end{aligned}$$

Initial values

$$\begin{aligned}c(x, 0) &= c_0(x) && \text{in } \Omega_1, \\ \rho(x, 0) &= \rho_0(x) && \text{in } \Omega_2,\end{aligned}$$

Nonlinearities

Time dependent discharge - $D_1 = 10^{-12}$



Time dependent discharge - $D_1 = 10^{-15}$



With suitable test functions $\tilde{c}, \tilde{\varphi}, \tilde{\rho}$ denote

$$\begin{aligned}\langle A_1(w, \varphi), \tilde{c} \rangle &:= \int_{\Omega_1} \mathbf{A}_1(\nabla w + w \nabla \varphi) \cdot \nabla \tilde{c} \, dx \\ \langle G_1(w, \varphi, u), \tilde{c} \rangle &:= \int_{\Gamma_1} R(w, \varphi, u) \tilde{c} \, dx\end{aligned}$$

System of equations

$$c'(\tau) + A_1(c(\tau), \varphi(\tau)) = G_1(c(\tau), \varphi(\tau), \rho(\tau)),$$

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System of equations

$$\begin{aligned}c'(\tau) + A_1(c(\tau), \varphi(\tau)) &= G_1(c(\tau), \varphi(\tau), \rho(\tau)), \\ B\varphi(\tau) &= f(c(\tau)),\end{aligned}$$

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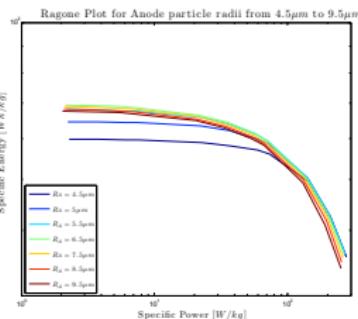
$$c(x, 0) = c_0(x) \quad \rho(x, 0) = \rho_0(x).$$

Specific energy

$$E = \frac{1}{M_A} \int_0^T i V dt$$

Average specific power

$$P = \frac{1}{M_A T} \int_0^T i V dt$$



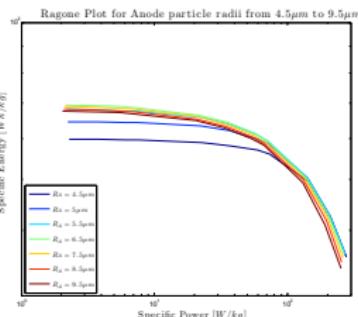
- T - discharge time,
- M_A - mass per unit area,
- V - cell potential,

Specific energy

$$E = \frac{1}{M_A} \int_0^T i V dt$$

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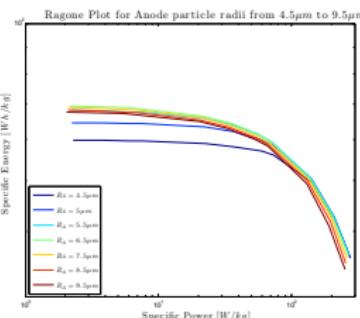
Total (discharge) current density: $i = i(t; V)$ (for galvanostatic discharge to a given applied voltage):

Specific energy

$$E(i; V) = \frac{1}{M_A} \int_0^{\mathcal{T}} i V dt$$

Average specific power

$$P(i; V) = \frac{1}{M_A \mathcal{T}} \int_0^{\mathcal{T}} i V dt$$



- \mathcal{T} - discharge time,
- M_A - mass per unit area,
- V - cell potential,

Total (discharge) current density: $i = i(t; V)$ (for galvanostatic discharge to a given applied voltage): sum of conduction and displacement current densities

$$i(t) = i_c(X, t) - \varepsilon_b \partial_t \partial_X \Phi(X, t)$$

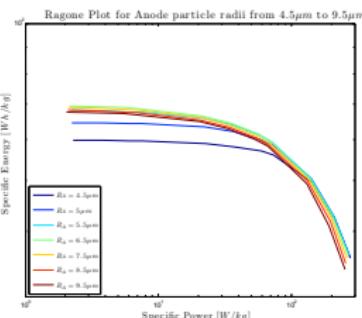
- $i_c = -F [D_{Li+} \partial_X c(X, t) + B_{Li+} \partial_X \Phi(X, t)]$,
- A electrode area,
- F Faraday constant.

Specific energy

$$E = \frac{1}{M_A} \int_0^T i V dt$$

Average specific power

$$P = \frac{1}{M_A T} \int_0^T i V dt$$



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- $i_c = -F [D_{Li^+} \partial_X c(X, t) + B_{Li^+} \partial_X \Phi(X, t)]$,
- A electrode area,
- F Faraday constant.

Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

$\max E(c, \varphi, \rho; \mu), \quad \max P(c, \varphi, \rho; \mu) \quad \text{subject to}$

$$c'(\tau) + A_1(c(\tau), \varphi(\tau)) = G_1(c(\tau), \varphi(\tau), \rho(\tau)), \quad \text{on } \mathcal{Q}_1,$$

$$B\varphi(\tau) = f(c(\tau)), \quad \text{on } \mathcal{Q}_1,$$

$$\rho'(\tau) + A_2(\rho(\tau)) = G_2(c(\tau), \varphi(\tau), \rho(\tau)), \quad \text{on } \mathcal{Q}_2,$$

$$c(x, 0) = c_0(x), \quad \text{on } \Omega_1,$$

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Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

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Question: Reasonable μ ?

- Material parameters
 - Diffusion coefficients - D_{Li^+}, D_{Li}

Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

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Question: Reasonable μ ?

- Material parameters

- Diffusion coefficients - D_{Li^+}, D_{Li}
- Permittivity of the electrolyte - ε

Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

$\max E(c, \varphi, \rho; \mu), \quad \max P(c, \varphi, \rho; \mu) \quad \text{subject to}$

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Question: Reasonable μ ?

- Material parameters
 - Diffusion coefficients - D_{Li^+}, D_{Li}
 - Permittivity of the electrolyte - ε
 - Stiffness of the intercalation electrode

Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

$\max E(c, \varphi, \rho; \mu), \quad \max P(c, \varphi, \rho; \mu) \quad \text{subject to}$

$$\begin{aligned} c'(\tau) + A_1(c(\tau), \varphi(\tau); \mu) &= G_1(c(\tau), \varphi(\tau), \rho(\tau)), && \text{on } \mathcal{Q}_1, \\ B\varphi(\tau) &= f(c(\tau)), && \text{on } \mathcal{Q}_1, \\ \rho'(\tau) + A_2(\rho(\tau); \mu) &= G_2(c(\tau), \varphi(\tau), \rho(\tau)), && \text{on } \mathcal{Q}_2, \\ c(x, 0) &= c_0(x), && \text{on } \Omega_1, \\ \rho(x, 0) &= \rho_0(x), && \text{on } \Omega_2. \end{aligned}$$

Question: Reasonable μ ?

- Material parameters
 - Diffusion coefficients - D_{Li^+}, D_{Li}
 - Permittivity of the electrolyte - ε
 - Stiffness of the intercalation electrode
- Geometric parameters
 - Component sizes: for 1-D length of electrolyte and electrode - L_1, L_2

Denote $c(\tau) := c(\tau; \mu)$, $\varphi(\tau) := \varphi(\tau; \mu)$, $\rho(\tau) := \rho(\tau; \mu)$

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Question: Reasonable μ ?

- Material parameters
 - Diffusion coefficients - D_{Li^+}, D_{Li}
 - Permittivity of the electrolyte - ε
 - Stiffness of the intercalation electrode
- Geometric parameters
 - Component sizes: for 1-D length of electrolyte and electrode - L_1, L_2
 - Contact surface

Denote $c(\tau) := c(\tau; \mu), \varphi(\tau) := \varphi(\tau; \mu), \rho(\tau) := \rho(\tau; \mu)$

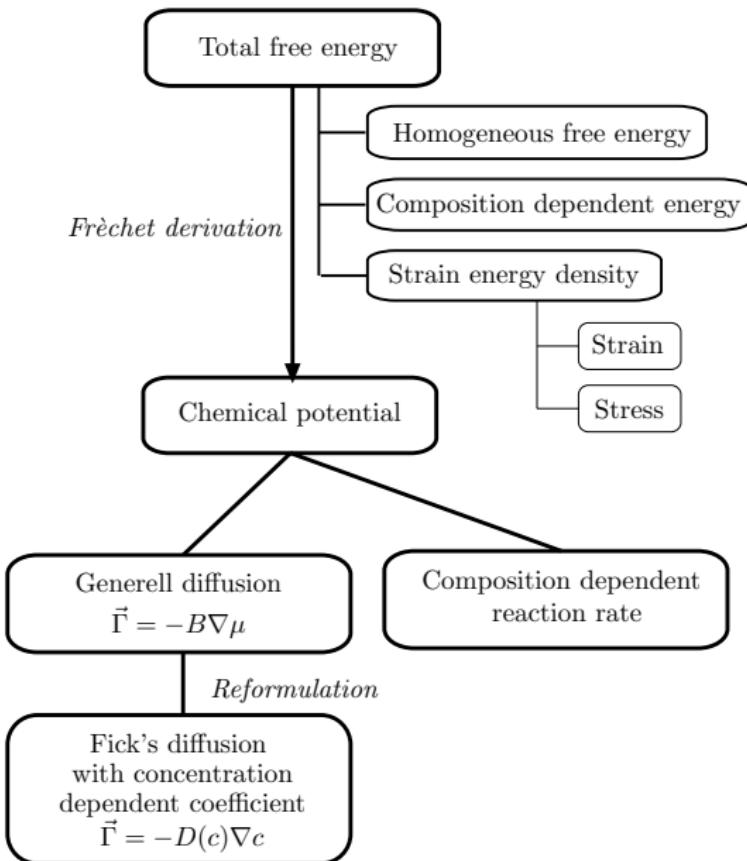
$\max E(c, \varphi, \rho; \mu), \quad \max P(c, \varphi, \rho; \mu) \quad \text{subject to}$

$$\begin{aligned} c'(\tau) + A_1(c(\tau), \varphi(\tau)) &= G_1(c(\tau), \varphi(\tau), \rho(\tau)), && \text{on } \mathcal{Q}_1, \\ B\varphi(\tau) &= f(c(\tau)), && \text{on } \mathcal{Q}_1, \\ \rho'(\tau) + A_2(\rho(\tau)) &= G_2(c(\tau), \varphi(\tau), \rho(\tau)), && \text{on } \mathcal{Q}_2, \\ c(x, 0) &= c_0(x), && \text{on } \Omega_1, \\ \rho(x, 0) &= \rho_0(x), && \text{on } \Omega_2. \end{aligned}$$

Question: Reasonable μ ?

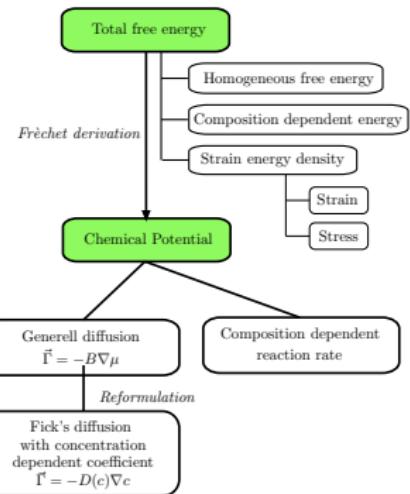
- Material parameters
 - Diffusion coefficients - D_{Li^+}, D_{Li}
 - Permittivity of the electrolyte - ε
 - Stiffness of the intercalation electrode
- Geometric parameters
 - Component sizes: for 1-D length of electrolyte and electrode - L_1, L_2
 - Contact surface
 - Composition of the electrode (not incorporated jet)

Part II: Incorporating mechanical effects



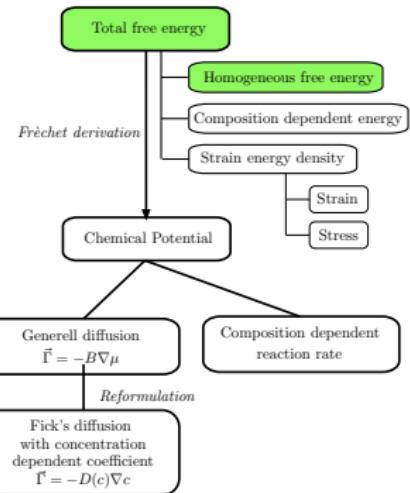
Total free energy $G[C_{Li}, e] = \int_{\Omega} \left[f^0(C_{Li}) + \frac{1}{2} \nabla C_{Li} \cdot \mathbf{K} \nabla C_{Li} + \mathcal{W}(C_{Li}, e) \right] dx$

$\underbrace{f^0(C_{Li}) + \frac{1}{2} \nabla C_{Li} \cdot \mathbf{K} \nabla C_{Li}}_{=: F(C_{Li}(X), \nabla C_{Li}(X)), \text{ def. } g := \nabla C_{Li}}$



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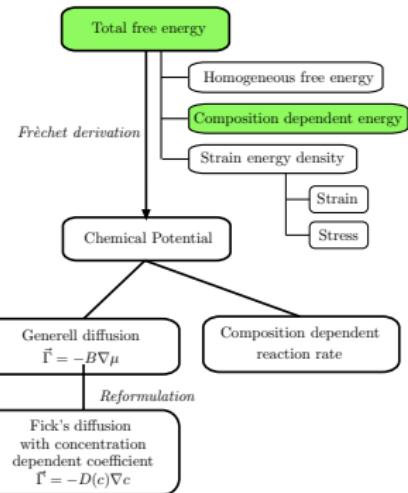
- Free energy (per molecule) of a homogeneous system



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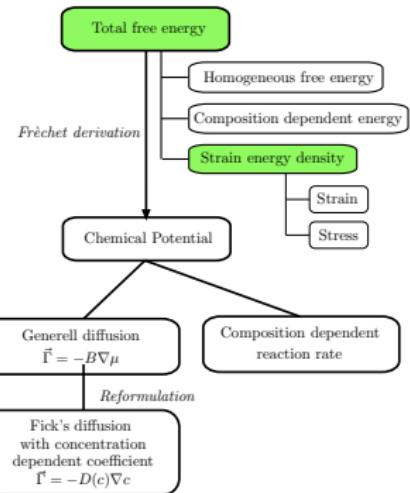
- Free energy (per molecule) of a homogeneous system
- Composition dependent energy



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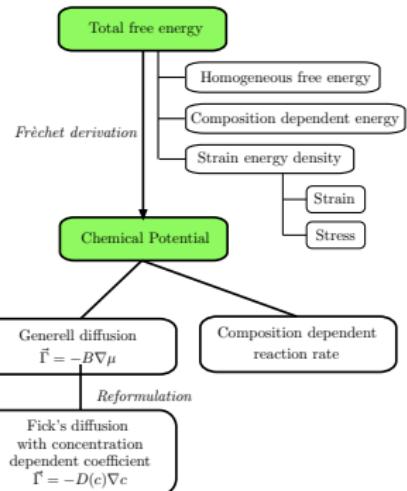
- Free energy (per molecule) of a homogeneous system
- Composition dependent energy
- Strain energy density (in a material point)



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Chemical potential $\mu_{Li} := \frac{\delta G[C_{Li}]}{\delta C_{Li}(X)}$

Cahn-Hilliard equation

Instead of Fick's Diffusion ...

$$\partial_t C_{Li} = \nabla \cdot (\mathbf{D}_{Li} \nabla C_{Li})$$

Cahn-Hilliard equation

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..diffusion in the chemical potential gradient

$$\begin{aligned}\partial_t C_{Li} &= \nabla \cdot (\mathbf{B}_{Li} \nabla \mu_{Li}) \\ &= \nabla \cdot \left(\mathbf{B}_{Li} \nabla \frac{\delta G[C_{Li}]}{\delta C_{Li}(X)} \right)\end{aligned}$$

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Cahn-Hilliard equation

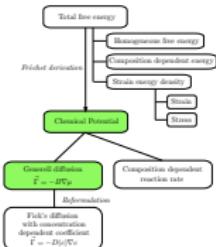
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$$\implies \partial_t C = \nabla \cdot \mathbf{B} \nabla \left(\frac{\partial f(C(X))}{\partial C} - \nabla \cdot \mathbf{K} \nabla C(X) + \frac{\partial \mathcal{W}(C(X), \mathbf{e}(X))}{\partial C} \right)$$

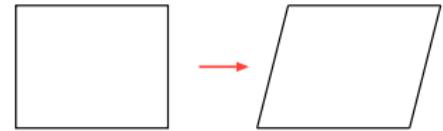
Problem: fourth oder PDE

Strain energy density

W.l.o.g. ...

- Linearised mechanical strain (relative to the referenz configuration)

$$e(u(X)) = \frac{1}{2} (\nabla u(X) + \nabla u(X)^T)$$

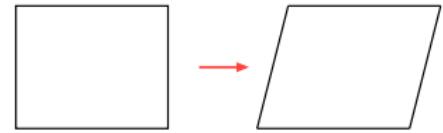


Strain energy density

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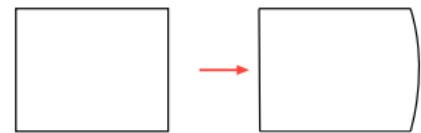
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- **Stress-free strain** (dependent of local cristal structure and local composition)

$$\boldsymbol{e}^0(C_{Li}) = \boldsymbol{M} C_{Li}$$



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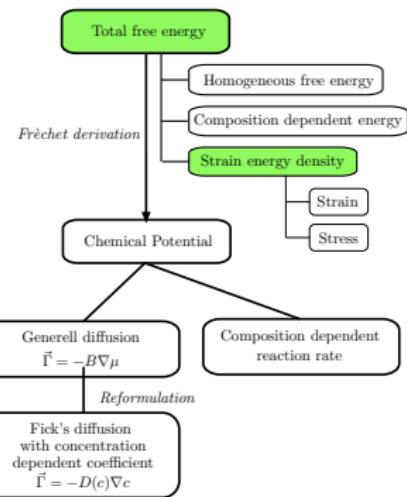
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... with Hooks law of linear elasticity follows

$$\mathcal{W}(C_{Li}, \boldsymbol{e}) = \frac{1}{2} \underbrace{(\boldsymbol{e} - \boldsymbol{e}^0(C_{Li}))}_{=: \Delta \boldsymbol{e}(C_{Li})} : \underbrace{\boldsymbol{E}(C_{Li}) (\boldsymbol{e} - \boldsymbol{e}^0(C_{Li}))}_{\boldsymbol{\sigma}(\Delta \boldsymbol{e}(C_{Li}))}$$



Equation System in the intercalation electrode

Generalized diffusion equation

$$\partial_t C_{Li} = \nabla \cdot \mathbf{B}_{Li} \nabla \mu_{Li}$$

$$\mu = \frac{\delta G[C_{Li}, u]}{\delta C_{Li}} = \frac{\partial f(C_{Li})}{\partial C_{Li}} - \nabla \cdot \mathbf{K} \nabla C_{Li} + \frac{\partial \mathcal{W}(C_{Li}, \nabla u)}{\partial C_{Li}}$$

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Quasi-static mechanic equilibrium (define $\mathbf{F} := \nabla u$)

$$-\nabla \cdot \boldsymbol{\sigma} = 0$$

$$\boldsymbol{\sigma} = \frac{\delta G[C_{Li}, u]}{\delta u} = -\frac{\partial \mathcal{W}(C_{Li}, \nabla u)}{\partial \mathbf{F}}$$

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T$$

Hidden assumption: Mechanical equilibrium is attained on a much faster time scale than diffusion takes place.

Equation System in the intercalation electrode

Ficks diffusion

$$\partial_t C_{Li} = \nabla \cdot \mathbf{D}_{Li} \nabla C_{Li}$$

Quasi-static mechanic equilibrium (define $\mathbf{F} := \nabla u$)

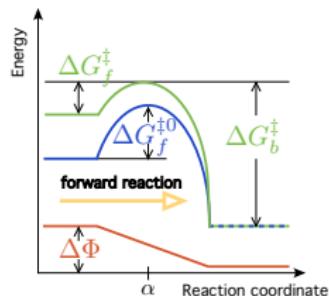
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Electrochemical interfacial kinetics

Frumkin-Butler-Volmer equation (electrochemical driving force)

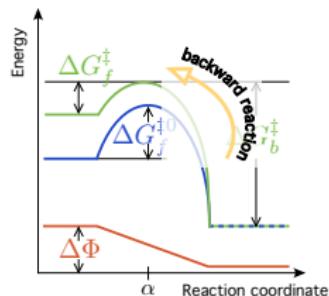


- So far, reaction rate depending on potential drop in the stern layer

$$R^{elec} = k_{f,i} \left[C_A e^{\alpha(\Delta\Phi_S)} \right] - k_{b,i} \left[C_B e^{-(1-\alpha)\Delta\Phi_S} \right]$$

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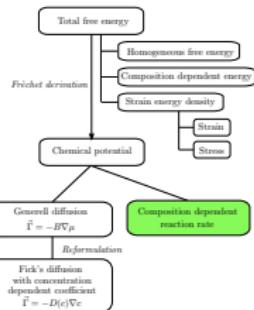


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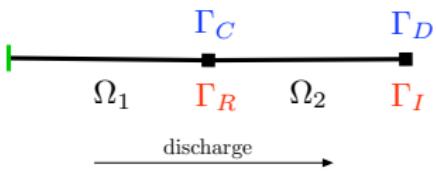
... incorporate **thermodynamic driving force**

- Reaction rate also depending on the composition

$$R^{chem} = k_{f,i} \left[C_A e^{\beta(\mu_A - \mu_B)} e^{\alpha(\Delta\Phi_S)} \right] - k_{b,i} \left[C_B e^{-\beta(\mu_A - \mu_B)} e^{-(1-\alpha)\Delta\Phi_S} \right]$$

Boundary conditions ...

... for the diffusion equation



$$n \cdot \nabla(\mathbf{D}_{Li^+} \nabla C_{Li^+}) = -R^{chem}(C_{Li^+}, C_{Li}, \Phi, \Delta\mu) \quad \text{on } \Gamma_R$$

$$n \cdot \nabla(\mathbf{D}_{Li^+} \nabla C_{Li^+}) = 0 \quad \text{on } \Gamma_I$$

Boundary conditions ...

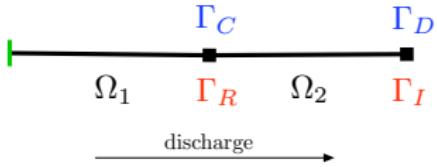
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... for the mechanical equation

$$\sigma n = 0 \quad (\text{surface forces in equilibrium}) \quad \text{on } \Gamma_F$$



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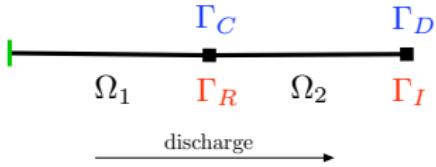
... for the mechanical equation

$$\sigma n = 0 \quad (\text{surface forces in equilibrium}) \quad \text{on } \Gamma_F$$

... or treat electrolyte as rigid body

$$u = 0 \quad (\text{fixed body}) \quad \text{on } \Gamma_D$$

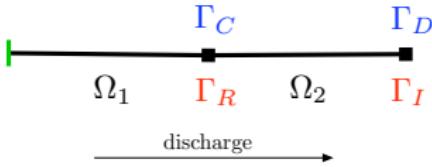
$$n \cdot u \leq 0 \quad (\text{contact condition}) \quad \text{on } \Gamma_C$$



Boundary conditions ...

... for the diffusion equation

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... for the mechanical equation

$$\sigma n = 0 \quad (\text{surface forces in equilibrium}) \quad \text{on } \Gamma_F$$

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$$\begin{aligned} u &= 0 \quad (\text{fixed body}) && \text{on } \Gamma_D \\ n \cdot u &\leq 0 \quad (\text{contact condition}) && \text{on } \Gamma_C \end{aligned}$$

Question: Is there an attainable quasi-static mechanical equilibrium under **these** boundary conditions?

⇒ Change to dynamic elasticity, moving boundaries

Questions and Discussion