

# SOME MOMENT PROBLEMS FROM ONE TO INFINITE DIMENSIONS

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1. MOTIVATIONS, AND SOME MOMENT  
PROBLEMS IN Q.F.
2. SOME RELATIONS TO INFIN. DIM.  
S-MOMENT PROBLEM

# 1. MOTIVATIONS, AND SOME MOMENT PROBLEMS IN Q.F.

Ex. 1 (Non relativistic Q.M.,  
1 particle in  $\mathbb{R}^s$ )

$$\langle f(\cdot) \rangle = \int_{\Gamma} e^{\frac{i}{\hbar} S(\gamma)} f(\gamma) d\gamma$$

↑  
Path space

↑  
path:  
 $\gamma: [0, t] \rightarrow \mathbb{R}^s$

E.g.  $f(\gamma) = \prod_{i=1}^n \gamma(\tau_i)$

$$S(\gamma) = \int_0^t |\dot{\gamma}(\tau)|^2 d\tau - \int_0^t V(\gamma(\tau)) d\tau$$

Rem:  $\langle \cdot \rangle$   $\mathbb{C}$ -functional

$\hbar \downarrow 0$ : "classical limit"

Ex. 2 (Scalar) Q. F.

$$\Gamma = \text{Maps} (\mathbb{R} \times \underbrace{\mathbb{R}^d}_{\mathbb{R}^d} \rightarrow \mathbb{R})$$

$$\gamma = \gamma (t, \vec{x})$$

$$S'(\gamma) := \int_{\mathbb{R}^d} [|\dot{\gamma}(x)|^2 - |\nabla_{\vec{x}} \gamma(x)|^2] dx \\ - \int_{\mathbb{R}^d} V(\gamma(x)) dx$$

$$\text{E.g. } f(\gamma) = \prod_{i=1}^n \gamma(x_i)$$

$f \rightarrow \langle f \rangle$  "complex functional"  
 $\hbar \downarrow 0$  : "classical limit"

Ex. 3 "Euclidean" versions

of Ex 1, 2. [Useful, many interesting applications!]

$i S(\gamma) \rightsquigarrow S'_E(\gamma)$ : defined as

$S'(\gamma)$  but with  $\int |\dot{\gamma}|^2 \rightsquigarrow -\int |\dot{\gamma}|^2$

Then  $\langle f(\cdot) \rangle_{(\hbar=1)} = \underbrace{Z^{-1}}_{\int \mu(d\gamma)} \int e^{-S'_E(\gamma)} \underbrace{f(\gamma) d\gamma}_{\mu(d\gamma)}$

(4)

If  $f$  polyn. :  $\langle f \rangle$  called  
"Schwinger functions"

Rem 1 " $Z^{-1} e^{-\int_E(\phi) d\phi}$ "

well def. Gaussian meas.

$$\mu_0(d\phi) := N(0; (-\Delta + m^2)^{-1})$$

on  $\mathcal{S}'(\mathbb{R}^d)$ , if  $V = V_0(\phi(x))$   
 $= m^2 \phi(x)^2$

"Nelson's free field measure"

Rem 2 If  $V = V_0 + \lambda \overbrace{(\text{higher order poly.})}^{V_1}$

\* ill defined,  
since  $\delta$  singular

Physicists heuristic exp. of  $\langle f \rangle$   
in power of  $\lambda$  and renormali-  
zation term by term, no  
summability results for  $d \geq 4$

For  $d = 1, 2, (3)$  rigorous results  
("constructive QF theory"):

$$V_\Lambda \text{ in } \mathcal{S}_E \rightsquigarrow V_{\Lambda, \varepsilon, \Lambda}, \text{ with}$$

$$\int \gamma(x)^\Lambda dx \rightsquigarrow \int \underbrace{\gamma_\varepsilon(x)^\Lambda}_{\wedge \subset \subset \mathbb{R}^d} dx$$

( $\gamma$  needed for  $d \geq 2$ )

$$\varepsilon > 0$$

Call  $\mu_{\varepsilon, \Lambda}$  meas. obtained  
from expression for  $\mu$  by  
these replacements:

$$\mu_{\varepsilon, \Lambda} \rightarrow \mu \text{ weakly in } \mathcal{S}'(\mathbb{R}^d)$$

Rem: Moment problem: Zernin...  
Kondratiev, Kuna, Lytvynov

$\mu$  unique for  $d=1$

$d \leq 3$ : Borel summable  
expansion in  $\lambda$  for  $\langle f \rangle$

Rem:  $\int_{\Lambda} : \delta_{\epsilon}(x)^n : dx$  called "Wick powers of  $\delta_{\epsilon}$  in  $\Lambda$ "  
 For  $\epsilon > 0$  def. as  $n$ -th deriv. at  $\alpha = 0$  of

$$\int_{\Lambda} : \exp(\alpha \delta_{\epsilon}(x)) : dx = \left( \int_{\Lambda} \exp(\alpha \delta_{\epsilon}(x)) dx \right) / E_{\mu_0}(\cdot)$$

Well def. in  $L^2(\mu_0)$  for  $\epsilon \downarrow 0$  if  $d = 2$ . Call

$\int_{\Lambda} : \delta^n : (x) dx$  the limit for  $\epsilon \downarrow 0$ :

" $n$ -th Wick power of  $\delta$  in  $\Lambda$ "  
 $\langle \psi, : \delta^n : \rangle$  well def.  $\forall \psi \in \mathcal{S}(\mathbb{R}^2)$

Rem: In relativistic case  $\exists$  analogue " $n$ th Wick power" as "operator valued distrib. in Fock space for free field",  
 all  $d!$   
 interesting connection with Moment Problem

$n = 1$  : field oper. :  
essent. self-adj.  
on natural dom.

(as seen via Nelson's anal. vectors)

$n = 2$  : also (via Nussbaum  
quasi-analytic vectors :  
Jachok ...)

$n = 3$  : densely def, real,  
symmetric  
but open wether  
ess. s.a.

For  $d = 2$ , "fixed time" ess. s.a.

Ferraro, Yoshida, A.

Choice of test functions plays  
role : detailed results by

S. Rabsztyn '89 (and A. '62)

$\langle \psi, : \gamma^3 : \rangle$  expressed by creation/  
annih. ops According to choice of

$\chi$  : defect indices  $(0,0), (1,1), (3,3)$

Relation to study of diff. ops in 1-d  
e.g. Kostyuchenko, Mirzoev '99 ...

## 2. SOME RELATIONS TO INFIN. DIM. S-MOMENT PROBLEM

Yesterday's lect. by Infusino:  
S-moment Probl. on  $\mathcal{D}'(\mathbb{R}^d)$

$\mathbb{R}^N$ :  $\ell : \mathbb{R}[X_1, \dots, X_N] \rightarrow \mathbb{R}$  linear

$$\ell(1) = 1$$

$$S := \{x \in \mathbb{R}^N \mid (f_1 \wedge \dots \wedge f_m)(x) \geq 0\}$$

$m, f_i$  given

$\in \mathbb{R}[X_1, \dots, X_N]$

Mom. Probl.: Find  $\mu \in \mathcal{M}_+(\mathbb{R}^N)$

$$\text{s.t. } \int_{\mathbb{R}^N} p(y) \mu(dy) =$$

$$\ell(p(\vec{x})),$$

$$\vec{x} = (X_1, \dots, X_N), \forall p \in \mathbb{R}[X_1, \dots, X_N]$$



Schmüdgen '79 : for  $S$  compact:  $\exists$   $n$  ~~necc.~~  $n$  ~~necc.~~ & suffic.

$$\forall (j_1, \dots, j_n) \in \{0, 1\}^n, \forall g \in \mathbb{R}[X_1, \dots, X_n]$$

$$h(g(\vec{x})^2 \prod_{i=1}^m f_i(\vec{x})^{j_i}) \geq 0$$

In this case  $\mathcal{N} \{x | \underbrace{f_1 \wedge \dots \wedge f_m}_S(x) \geq 0\}$   
 $= 1$

Vast generalizations: e.g.  
 Kuhlmann, Marshall, Schwartz...

Yesterday:  $\mathbb{R}^N \rightsquigarrow \mathcal{D}'(\mathbb{R}^d)$   
 $S \rightsquigarrow$  semi-algebr. subset  
 of gener. fcts in  
 $\mathcal{D}'(\mathbb{R}^d)$  given by  
 polynomial constraints

suffic. : positivity of  
 Riesz functional assoc. with  $h$   
 on quadratic modules

E.g. Quasi-analytic criterion...

Infusino, Kuna, Rota; Chasemi, Kuna, Infusino,  
 Marshall ....

There should be relations with QF... (10)

Simpler: Wiener space (or space for Ornstein-Uhlenbeck ...):

$$(-\Delta + m^2)^{-1} \rightsquigarrow \left(-\frac{d^2}{dt^2} + m^2\right)^{-1}$$

covar. of 0-U  
process,  
parameter  $m$

Paper by Frederik Herzberg + A.

Instead of  $\mathcal{D}'(\mathbb{R}^d)$ ,  $d=1$ :

$C([0,1]; \mathbb{R})$ : Wiener space  
(0)

Motivation:  $\mathbb{R}^N$  as path space  
of  $N$ -time random walk

$$(X_1(\omega), \dots, X_N(\omega)) := \vec{X}(\omega),$$

$$\omega \in (\Omega, \mathcal{F}_N, (\mathcal{F}_i)_{i=1, \dots, N}, \mathbb{P})$$

$$\mathcal{L}(\mathbb{P}(\vec{X})) = \int \mathbb{P}(\vec{X}(\omega)) \mathbb{P}(d\omega)$$

$$\mathbb{P}\left(\bigcap_{i=1}^m \{f_i(\vec{X}(\omega)) \geq 0\}\right) = 1$$

$S(\omega)$  set

Notation:

$$\pi_{\mathbb{Q}}(\omega) := \left\{ \Upsilon(\omega) \mid \Upsilon(\omega) = \prod_{j=1}^m X_{q_j}^{i_j}(\omega), \right. \\ \left. i_j \in \mathbb{N}, q_j \in \mathbb{Q} \cap (0,1] \right\}$$

$\langle \pi_{\mathbb{Q}}(\cdot) \rangle$  generated vector space

$$\pi_{\mathbb{R}}(\omega) \text{ as above with } \\ \mathbb{Q} \cap (0,1] \rightsquigarrow (0,1]$$

Let  $b$ : stand. Brown. motion on  $[0,1]$

Theor: Let  $f_1(\vec{b}), \dots, f_m(\vec{b}) \in \langle \pi_{\mathbb{Q}} \rangle$   
 $\vec{b}$  vector of  $b$  taken at rational times

Assume  $\ell: \mathbb{R}[\vec{b}] \rightarrow \mathbb{R}$ ,  
 $\ell(1) = 1$  and

$$\ell\left((g(\vec{b}))^2 \prod_{i=1}^m f_i^{k_i}(\vec{b})\right) \geq 0$$

$$\forall (k_1, \dots, k_m) \in (0,1]^m, g \in \langle \pi_{\mathbb{Q}} \rangle$$

Assume in addition  $\exists c > 0$  s.t. (12)  

$$\max_{k < n} \left| \ell(g(\vec{b})^2) \cdot \left( \left| \frac{b_{k+1}}{n} - \frac{b_k}{n} \right|^2 - c \ell(g(\vec{b})^2) \right) \right| \rightarrow 0$$
 as  $n \rightarrow \infty$   
 ("contin. cond. on  $\ell$ ")

Then  $\exists \tilde{b}_t, t \in \mathbb{R} \cap (0, 1]$  B.M. s.t.

$$1) \quad f_i(c \tilde{b}_t) \Big|_{t \in \mathbb{R} \cap (0, 1]} \geq 0 \quad \text{a.s.}, \forall i \in \{1, \dots, m\}$$

$$2) \quad \ell(p(\vec{b})) = E(p(c \tilde{b}))$$

$$\forall p(\vec{b}) \in \langle \pi_{\mathbb{Q}} \rangle$$

Moreover  $\exists L^1$ -cont.

ext. of  $\ell$  to  $\langle \pi_{\mathbb{R}} \rangle$ .

Proof: NSA (Brown. motion  
 as infinitesimal steps random  
 walk; Loeb measure)

"transfer" from finite-dim. ...