

In fact, if  $S$  is a finite subset of  $\mathbb{R}[\underline{X}]$  such that  $K_S$  is compact and  $\dim(K_S) \geq 3$ , then Corollary 1.3.42 ensures that  $\text{Psd}(K_S) = \overline{(T_S)}^\varphi$  but by Theorem 1.3.5 we also know that  $\text{Psd}(K_S) \neq T_S$  so  $T_S \neq \overline{(T_S)}^\varphi$ , i.e.  $T_S$  is not closed in  $(\mathbb{R}[\underline{X}], \varphi)$ . In the case when  $K_S$  is not compact (and so  $M_S$  is not Archimedean), we cannot apply the previous closure results, so is it natural to ask if we can get similar results by considering closures w.r.t. other topologies rather than  $\varphi$ .

Closures of even power modules of  $\mathbb{R}[X_1, \dots, X_n]$  have been studied already since the seventies. Indeed, the cone  $\sum \mathbb{R}[X_1, \dots, X_n]^2$  is closed in  $(\mathbb{R}[\underline{X}], \varphi)$  (see Sheet 3, Exercise 2), so taking its closure w.r.t.  $\varphi$  does not help to characterize  $\text{Psd}(\mathbb{R}^n)$  for  $n \geq 2$  (as  $\text{Psd}(\mathbb{R}^n) \neq \sum \mathbb{R}[\underline{X}]^2 = \overline{\sum \mathbb{R}[\underline{X}]^2}^\varphi$ ). However, every polynomial in  $\text{Psd}(\mathbb{R}^n)$  can be approximated by elements in  $\sum \mathbb{R}[\underline{X}]^2$  w.r.t. the topology induced by the norm  $\|\cdot\|_1$ , where  $\|f\|_1 := \sum_\alpha |f_\alpha|$  for any  $f = \sum_\alpha f_\alpha \underline{X}^\alpha \in \mathbb{R}[\underline{X}]$ . In fact, in [2, Theorem 9.1] the authors show that  $\text{Psd}([-1, 1]^n) = \overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_1}$ , i.e.  $\sum \mathbb{R}[\underline{X}]^2$  is dense in  $\text{Psd}([-1, 1]^n)$  w.r.t.  $\|\cdot\|_1$  on  $\mathbb{R}[\underline{X}]$  (see also [23]). This result is actually established in [2] as a corollary of a general result valid for any commutative semigroup. In [3] and [4] the results in [2] were extended further, to include commutative semigroups with involution and topologies induced by absolute values. In [11] a new proof of these results is given by using the Representation Theorem 1.3.24 and they are at the same time extended from sums of squares to sums of  $2d$ -powers. In particular, the authors prove that for any  $d \in \mathbb{N}$  we get  $\text{Psd}([-1, 1]^n) = \overline{\sum \mathbb{R}[\underline{X}]^{2d}}^{\|\cdot\|_1}$ . The closure of  $\sum \mathbb{R}[\underline{X}]^{2d}$  w.r.t. to  $\|\cdot\|_p$  with  $1 \leq p \leq \infty$  has been studied in [9], where it is showed that for any  $d \in \mathbb{N}$  we have  $\text{Psd}([-1, 1]^n) = \overline{\sum \mathbb{R}[\underline{X}]^{2d}}^{\|\cdot\|_p}$ . In this same work also the closure of  $\sum \mathbb{R}[\underline{X}]^{2d}$  w.r.t. weighted versions of  $\|\cdot\|_p$  has been considered. In particular, Lasserre in [22] identified a weighted version  $\|\cdot\|_w$  of the norm  $\|\cdot\|_1$  such that for any  $S \subseteq \mathbb{R}[\underline{X}]$  finite  $\text{Psd}(K_S) = \overline{M_S}^{\|\cdot\|_w}$ .

The question of establishing when the closure of an even power module  $M$  in  $\mathbb{R}[\underline{X}]$  coincides with  $\text{Psd}(K)$  for some subset  $K$  of  $\mathbb{R}^n$  can be clearly considered also for even power modules in any unital commutative topological  $\mathbb{R}$ -algebra. Such a general setting was studied in [10] and [12]. We would like to present here the main result [12] as it is a powerful application of the Representation Theorem 1.3.24 and allows to deduce several of the closure results mentioned above.

Let  $A$  be a unital commutative  $\mathbb{R}$ -algebra and denote by  $X(A)$  the character space of  $A$  (see Section 1.3.2 for the definition). For any  $M \subseteq A$ , recall that  $\mathcal{K}_M := \{\alpha \in X(A) : \hat{a}(\alpha) \geq 0, \forall a \in M\}$ , where  $\hat{a}$  is the Gelfand transform of  $a$  (see Section 1.3.2 for the definition).

**Definition 1.3.43.** A function  $\rho : A \rightarrow \mathbb{R}$  is called a seminorm if

1.  $\rho$  is subadditive:  $\forall x, y \in A, \rho(x + y) \leq \rho(x) + \rho(y)$ .
2.  $\rho$  is positively homogeneous:  $\forall x \in A, \forall \lambda \in \mathbb{R}, \rho(\lambda x) = |\lambda|\rho(x)$ .

A seminorm on a  $A$  is said to be submultiplicative if

$$\forall x, y \in A, \rho(xy) \leq \rho(x)\rho(y).$$

If  $\rho$  is a submultiplicative seminorm on  $A$ , then  $(A, \rho)$  is called a *seminormed algebra*. (In particular,  $A$  with a submultiplicative norm is said to be a normed algebra). Note that any seminormed algebra is a topological algebra with jointly continuous multiplication (c.f. [14, Proposition 1.2.14]). We denote by  $\mathfrak{sp}(\rho)$  the set of all  $\rho$ -continuous  $\mathbb{R}$ -algebra homomorphisms from  $A$  to  $\mathbb{R}$  and we refer to  $\mathfrak{sp}(\rho)$  as the *Gelfand spectrum* of  $(A, \rho)$ , i.e.

$$\mathfrak{sp}(\rho) := \{\alpha \in \underline{X}(A) : \alpha \text{ is } \rho\text{-continuous}\}.$$

**Lemma 1.3.44.**

For any seminormed  $\mathbb{R}$ -algebra  $(A, \rho)$  we have:

$$\mathfrak{sp}(\rho) = \{\alpha \in \underline{X}(A) : |\alpha(a)| \leq \rho(a) \text{ for all } a \in A\}.$$

*Proof.* The inclusion  $\{\alpha \in \underline{X}(A) : |\alpha(a)| \leq \rho(a) \text{ for all } a \in A\} \subseteq \mathfrak{sp}(\rho)$  follows straightforward from the definition of Gelfand spectrum of  $(A, \rho)$ . Let us prove by contradiction the converse inclusion.

Suppose that  $\alpha \in \underline{X}(A)$  is  $\rho$ -continuous but that there exists  $x \in A$  s.t.  $|\alpha(x)| > \rho(x)$ . Take  $\delta \in \mathbb{R}^+$  s.t.  $|\alpha(x)| > \delta > \rho(x)$  and set  $y := \frac{x}{\delta}$ . Then we have  $\rho(y) < 1$  and  $|\alpha(y)| > 1$ , which imply that  $\rho(y^n) \rightarrow 0$  and  $|\alpha(y^n)| \rightarrow \infty$  as  $n \rightarrow \infty$ , contradicting the  $\rho$ -continuity of  $\alpha$ .  $\square$

We are ready now to state the main result of [12].

**Theorem 1.3.45.** Let  $(A, \rho)$  be a unital commutative seminormed  $\mathbb{R}$ -algebra and  $d \in \mathbb{N}$ . If  $M$  is a  $2d$ -power module of  $A$ , then  $\overline{M}^\rho = \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))$ .

In order to prove this result, let us recall some fundamental properties of unital commutative seminormed  $\mathbb{R}$ -algebras.

**Remark 1.3.46.** Any seminormed algebra  $(A, \rho)$  can be topologically embedded into a Banach algebra  $(\tilde{A}, \tilde{\rho})$ , i.e. there exists  $\iota : (A, \rho) \rightarrow (\tilde{A}, \tilde{\rho})$  continuous embedding (see [14, Corollary 3.3.21]). Hence,  $A$  and  $\iota(A)$  are homeomorphic. Recall that a Banach algebra is a normed algebra whose underlying space is a complete normed space.

**Lemma 1.3.47.** For any unital commutative Banach  $\mathbb{R}$ -algebra  $(B, \sigma)$ , any  $b \in B$  and  $r \in \mathbb{R}$  such that  $r > \sigma(b)$ , and any  $k \in \mathbb{N}$ , there exists  $p \in B$  such that  $p^k = r + b$ .

*Proof.* The standard power series expansion

$$(r + x)^{\frac{1}{k}} = r^{\frac{1}{k}} \left(1 + \frac{x}{r}\right)^{\frac{1}{k}} = r^{\frac{1}{k}} \sum_{j=0}^{\infty} \frac{\frac{1}{k} \left(\frac{1}{k} - 1\right) \cdots \left(\frac{1}{k} - j\right)}{j!} \left(\frac{x}{r}\right)^j$$

converges absolutely for  $|x| < r$ . This together with the fact that  $(B, \sigma)$  is a Banach algebra implies that, for any  $b \in B$  and any  $r \in \mathbb{R}$  such that  $r > \sigma(b)$ , we have

$$p := r^{\frac{1}{k}} \sum_{j=0}^{\infty} \frac{\frac{1}{k} \left(\frac{1}{k} - 1\right) \cdots \left(\frac{1}{k} - j\right)}{j!} \left(\frac{b}{r}\right)^j \in B$$

and  $p^k = (r + b)$ . □

**Lemma 1.3.48.** Let  $(B, \sigma)$  be a unital Banach  $\mathbb{R}$ -algebra and  $L : B \rightarrow \mathbb{R}$  a linear functional. If there exists  $d \in \mathbb{N}$  such that  $L(b^{2d}) \geq 0$  for all  $b \in B$ , then  $L$  is  $\sigma$ -continuous.

*Proof.* By Lemma 1.3.47, for all  $n \in \mathbb{N}$  and all  $a \in B$  we have that  $\frac{1}{n} + \sigma(a) \pm a = 1 + \sigma(\pm a) + (\pm a) \in B^{2d}$ . Applying  $L$ , we obtain  $|L(a)| \leq \left(\frac{1}{n} + \sigma(a)\right)L(1)$  for all  $n \in \mathbb{N}$  and all  $a \in B$ , so  $|L(a)| \leq \sigma(a)L(1)$  for all  $a \in B$ . Hence,  $L$  is  $\sigma$ -continuous. □

We are finally ready to show Theorem 1.3.45.

*Proof. of Theorem 1.3.45*

Since

$$\text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho)) = \bigcap_{\alpha \in \mathcal{K}_M \cap \mathfrak{sp}(\rho)} \alpha^{-1}([0, +\infty))$$

and any  $\alpha \in \mathcal{K}_M \cap \mathfrak{sp}(\rho)$  is  $\rho$ -continuous, we have that  $\text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))$  is closed in  $(A, \rho)$ . Hence,  $\overline{M}^\rho \subseteq \overline{\text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))}^\rho = \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))$ . For

the reverse inclusion, let us consider  $b \in \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))$  and denote by  $\widetilde{M}$  the closure of the image of  $M$  in the Banach algebra  $(\widetilde{A}, \tilde{\rho})$ , i.e.  $\widetilde{M} := \overline{\iota(M)}^{\tilde{\rho}}$  (see Remark 1.3.46). Then  $\widetilde{M}$  is a  $2d$ -power module of  $\widetilde{A}$  as addition and multiplication on  $\widetilde{A}$  are both  $\tilde{\rho}$ -continuous and  $M$  is a  $2d$ -power module of  $A$ . By Lemma 1.3.47, for any  $n \in \mathbb{N}$  and all  $a \in \widetilde{A}$  we have  $\frac{1}{n} + \tilde{\rho}(a) \pm a \in \widetilde{A}^{2d} \subseteq \widetilde{M}$ . Hence,  $\tilde{\rho}(a) \pm a \in \widetilde{M}$  which implies that  $\widetilde{M}$  is Archimedean. Now, for any  $\alpha \in \mathcal{K}_{\widetilde{M}}$  we have that  $\alpha(a) \geq 0$  for all  $a \in \widetilde{M}$ , which gives in particular that  $\alpha$  is a linear functional on  $\widetilde{A}$  s.t.  $\alpha(a^{2d}) \geq 0$  for all  $a \in \widetilde{A}$  and so Lemma 1.3.48 ensures that  $\alpha$  is  $\tilde{\rho}$ -continuous. Hence,  $\alpha \circ \iota$  is  $\rho$ -continuous and  $\alpha(\iota(m)) \geq 0$  for all  $m \in M$ , i.e.

$$(\alpha \circ \iota) \in \mathcal{K}_M \cap \mathfrak{sp}(\rho), \forall \alpha \in \mathcal{K}_{\widetilde{M}}. \quad (1.5)$$

Denote by  $\tilde{b} := \iota(b)$ . Then (1.5) ensures that for all  $\alpha \in \mathcal{K}_{\widetilde{M}}$  we have  $\alpha(\tilde{b}) = (\alpha \circ \iota)(b) \geq 0$  as by assumption  $b \in \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho))$ . By Jacobi-Prestel Nichtnegativstellensatz we have that for all  $n \in \mathbb{N}$ ,  $\tilde{b} + \frac{1}{n} \in \widetilde{M}$  and so by the completeness of  $\widetilde{A}$  we get  $\tilde{b} \in \widetilde{M}$ . This yields  $\iota(b) \in \overline{\iota(M)}^{\tilde{\rho}} = \iota(\overline{M}^\rho)$  where the latter equality holds since  $A$  and  $\iota(A)$  are homeomorphic (see Remark 1.3.46). Hence,  $b \in \overline{M}^\rho$ .  $\square$

Keeping in mind the identification between  $X(\mathbb{R}[\underline{X}])$  and  $\mathbb{R}^n$  proved in Proposition 1.3.26 and applying Theorem 1.3.45 for  $A = \mathbb{R}[\underline{X}]$ , we obtain some of the closure results mentioned above.

**Examples 1.3.49.** Let  $M := \sum \mathbb{R}[\underline{X}]^2$  and so  $K_M = \mathbb{R}^n$ .

(a) If we consider the norm  $\|\cdot\|_1$  defined by  $\|f\|_1 := \sum_\beta |f_\beta|$  for all  $f = \sum_\beta f_\beta \underline{X}^\beta \in \mathbb{R}[\underline{X}]$ , then  $(\mathbb{R}[\underline{X}], \|\cdot\|_1)$  is a normed algebra. Hence, Theorem 1.3.45 gives  $\overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_1} = \text{Psd}(\mathbb{R}^n \cap \mathfrak{sp}(\|\cdot\|_1))$ . Let us now compute the Gelfand spectrum of  $(\mathbb{R}[\underline{X}], \|\cdot\|_1)$ .

If  $y = (y_1, \dots, y_n) \in \mathfrak{sp}(\|\cdot\|_1)$ , then by Lemma 1.3.44 we obtain that  $|p(y)| \leq \|p\|_1$  for all  $p \in \mathbb{R}[\underline{X}]$  and in particular for each  $i = 1, \dots, n$  we have  $|y_i| \leq \|X_i\|_1 = 1$ . Hence,  $y \in [-1, 1]^n$ . Conversely, for any  $y = (y_1, \dots, y_n) \in [-1, 1]^n$  we have that  $|y_i| = 1$  for  $i = 1, \dots, n$  and so for any  $p = \sum_\beta p_\beta \underline{X}^\beta \in \mathbb{R}[\underline{X}]$  we get

$$|p(y)| \leq \sum_\beta |p_\beta| |y_1|^{\beta_1} \cdot |y_n|^{\beta_n} \leq \sum_\beta |p_\beta| = \|p\|_1.$$

Hence, by Lemma 1.3.44,  $y \in \mathfrak{sp}(\|\cdot\|_1)$ .

We have therefore showed that  $\overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_1} = \text{Psd}([-1, 1]^n)$ , retrieving the result of [2] and [23].

(b) Let  $1 \leq p < \infty$  and consider  $\|\cdot\|_p$ , where for any  $f = \sum_{\beta} f_{\beta} \underline{X}^{\beta} \in \mathbb{R}[\underline{X}]$  we define  $\|f\|_p := \left( \sum_{\beta} |f_{\beta}|^p \right)^{\frac{1}{p}}$  for  $1 \leq p < \infty$  and  $\|f\|_{\infty} := \max_{\beta} |f_{\beta}|$ . As  $\|f\|_p \leq \|f\|_1$  for all  $f \in \mathbb{R}[\underline{X}]$ , we have that  $\overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_1} \subseteq \overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_p}$  and so by (a) we obtain  $\text{Psd}([-1, 1]^n) \subseteq \overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_p}$ . Furthermore, for any  $y \in [-1, 1]^n$  we have that the map  $e_y : \mathbb{R}[\underline{X}] \rightarrow \mathbb{R}$ , defined by  $e_y(f) := f(y)$  for any  $f \in \mathbb{R}[\underline{X}]$ , is  $\|\cdot\|_p$ -continuous. Indeed, for any  $y = (y_1, \dots, y_n) \in [-1, 1]^n$  and any  $f = \sum_{\beta} f_{\beta} \underline{X}^{\beta} \in \mathbb{R}[\underline{X}]$  we have that

$$|e_y(f)| = |f(y)| \leq \sum_{\beta} |f_{\beta}| |y_1|^{\beta_1} \cdots |y_n|^{\beta_n} \stackrel{\text{H\"older ineq.}}{\leq} C_q \|f\|_p,$$

where  $1 \leq q \leq \infty$  is such that  $\frac{1}{p} + \frac{1}{q} = 1$  and

$$C_q := \begin{cases} \left( \sum_{\beta} |y_1|^{q\beta_1} \cdots |y_n|^{q\beta_n} \right)^{\frac{1}{q}} & \text{if } q < \infty \\ \max_{\beta} |y_1|^{\beta_1} \cdots |y_n|^{\beta_n} & \text{if } q = \infty \end{cases}$$

which is finite as  $y = (y_1, \dots, y_n) \in [-1, 1]^n$ .

Hence,  $\text{Psd}([-1, 1]^n) = \bigcap_{y \in [-1, 1]^n} e_y^{-1}([0, +\infty))$  is closed in  $(\mathbb{R}[\underline{X}], \|\cdot\|_p)$ ,

which yields  $\overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_p} \subseteq \overline{\text{Psd}([-1, 1]^n)}^{\|\cdot\|_p} = \text{Psd}([-1, 1]^n)$ . We have therefore showed that  $\text{Psd}([-1, 1]^n) = \overline{\sum \mathbb{R}[\underline{X}]^2}^{\|\cdot\|_p}$ , for all  $1 \leq p \leq \infty$ , retrieving the result of [9].

Theorem 1.3.45 easily extends to locally multiplicatively convex algebras.

**Definition 1.3.50.** A unital commutative  $\mathbb{R}$ -algebra  $A$  endowed with a locally convex topology induced by a family of submultiplicative seminorms on  $A$  is called locally multiplicatively convex (lmc).

If  $(A, \tau)$  is an lmc algebra, then it is a topological algebra with jointly continuous multiplication (c.f. [14, Proposition 2.1.9]). Moreover, we denote by  $\mathfrak{sp}(\tau)$  the set of all  $\tau$ -continuous  $\mathbb{R}$ -algebra homomorphisms from  $A$  to  $\mathbb{R}$  and we refer to  $\mathfrak{sp}(\tau)$  as the *Gelfand spectrum* of  $(A, \tau)$ .

Using that any locally convex topology can be always generated by a family of directed seminorms (see [13, Proposition 4.2.14]) we get the following result.

**Proposition 1.3.51.** Let  $(A, \tau)$  be an lmc algebra with  $\tau$  generated by a directed family  $\mathcal{F}$  of submultiplicative seminorms. Then  $\mathfrak{sp}(\tau) = \bigcup_{\rho \in \mathcal{F}} \mathfrak{sp}(\rho)$ .

*Proof.* Applying [13, Proposition 4.6.1] and the definition of Gelfand spectrum, we easily obtain

$$\begin{aligned} \mathfrak{sp}(\tau) &= \{\alpha \in X(A) : \alpha \text{ is } \tau\text{-continuous}\} \\ &= \bigcup_{\rho \in \mathcal{F}} \{\alpha \in X(A) : \alpha \text{ is } \rho\text{-continuous}\} = \bigcup_{\rho \in \mathcal{F}} \mathfrak{sp}(\rho). \end{aligned}$$

□

It is then clear how to extend Theorem 1.3.45 to any lmc algebra.

**Theorem 1.3.52.** *Let  $(A, \tau)$  be an lmc algebra and  $d \in \mathbb{N}$ . If  $M$  is a  $2d$ -power module of  $A$ , then  $\overline{M}^\tau = \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\tau))$ .*

*Proof.* Let  $\mathcal{F}$  be a directed family of submultiplicative seminorms generating  $\tau$ . Then by Proposition 1.3.51, we get

$$\begin{aligned} \overline{M}^\tau &= \bigcap_{\rho \in \mathcal{F}} \overline{M}^\rho = \bigcap_{\rho \in \mathcal{F}} \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\rho)) \\ &= \text{Psd}\left(\mathcal{K}_M \cap \bigcup_{\rho \in \mathcal{F}} \mathfrak{sp}(\rho)\right) = \text{Psd}(\mathcal{K}_M \cap \mathfrak{sp}(\tau)). \end{aligned}$$

□