



## TOPOLOGICAL VECTOR SPACES II–WS 2019/2020

### Bonus Sheet - Solution

- 1) Given two sets  $X$  and  $Y$ , let  $E$  (resp.  $F$ ) be the linear space of all functions from  $X$  (resp.  $Y$ ) to  $\mathbb{K}$  endowed with the usual addition and multiplication by scalars. For any  $f \in E$  and  $g \in F$ , define:

$$\begin{aligned} f \otimes g: X \times Y &\rightarrow \mathbb{K} \\ (x, y) &\mapsto f(x)g(y). \end{aligned}$$

Show that  $M := \text{span}\{f \otimes g : f \in E, g \in F\}$  is a tensor product of  $E$  and  $F$ .

*Proof.* Define the map  $\phi: E \times F \rightarrow M$  as  $\phi(f, g) := f \otimes g$ . Since  $M = \text{span}\{\phi(E \times F)\}$ , to prove that  $(M, \phi)$  is a tensor product of  $E$  and  $F$  we only need to show that  $\phi$  is bilinear and that  $E$  and  $F$  are  $\phi$ -linearly disjoint.

Let  $\lambda \in \mathbb{K}$ ,  $f, g \in E$  and  $h \in F$ . Then, for all  $(x, y) \in X \times Y$  we have

$$\begin{aligned} ((f + \lambda g) \otimes h)(x, y) &= (f + \lambda g)(x)h(y) \\ &= f(x)h(y) + \lambda g(x)h(y) \\ &= (f \otimes h)(x, y) + \lambda(g \otimes h)(x, y), \end{aligned}$$

i.e.  $\phi(f + \lambda g, h) = \phi(f, h) + \lambda\phi(g, h)$ . This proves the linearity of  $\phi$  in its first argument. The linearity in the second argument can be analogously proved.

Let  $r \in \mathbb{N}$  and  $\{f_1, \dots, f_r\} \subseteq E$  and  $\{g_1, \dots, g_r\} \subseteq F$  be such that  $\sum_{i=1}^r \phi(f_i, g_i) = 0$ , i.e.

$$0 = \sum_{i=1}^r (f_i \otimes g_i)(x, y) = \sum_{i=1}^r f_i(x)g_i(y) \text{ for all } x \in X, y \in Y. \quad (1)$$

If  $\{f_1, \dots, f_r\}$  is linearly independent, then (1) yields  $g_1(y) = \dots = g_r(y) = 0$  for all  $y \in Y$ . Thus,  $g_1 = \dots = g_r = 0$  on  $Y$ . If  $\{g_1, \dots, g_r\}$  is linearly independent, then (1) yields  $f_1 = \dots = f_r = 0$  on  $X$ .

Hence,  $E$  and  $F$  are  $\phi$ -linearly disjoint. □

Let  $E$  and  $F$  be two locally convex t.v.s. over  $\mathbb{K}$ . Denote by  $E \otimes_\pi F$  the tensor product  $E \otimes F$  endowed with the  $\pi$ -topology. Prove the following statements:

- 2) If  $\mathcal{P}$  (resp.  $\mathcal{Q}$ ) is a family of seminorms generating the topology on  $E$  (resp. on  $F$ ), then the  $\pi$ -topology on  $E \otimes F$  is generated by the family

$$\{p \otimes q : p \in \mathcal{P}, q \in \mathcal{Q}\},$$

where for any  $p \in \mathcal{P}, q \in \mathcal{Q}, \theta \in E \otimes F$  we define:

$$(p \otimes q)(\theta) := \inf\{\rho > 0 : \theta \in \rho \operatorname{conv}_b(U_p \otimes U_q)\}$$

with  $U_p := \{x \in E : p(x) \leq 1\}$  and  $U_q := \{y \in F : q(y) \leq 1\}$ .

*Proof.* W.l.o.g. we may assume that the families  $\mathcal{P}$  and  $\mathcal{Q}$  are directed. Therefore,  $\mathcal{B}_\mathcal{P} = \{\varepsilon U_p : p \in \mathcal{P}, \varepsilon > 0\}$  resp.  $\mathcal{B}_\mathcal{Q} = \{\varepsilon U_q : q \in \mathcal{Q}, \varepsilon > 0\}$  are bases of neighbourhoods of the origin in  $E$  resp. in  $F$  (see TVS-I, Section 4.2). Then

$$\mathcal{B}_\pi := \{\operatorname{conv}_b(\delta U_p \otimes \varepsilon U_q) : p \in \mathcal{P}, q \in \mathcal{Q}, \delta > 0, \varepsilon > 0\}$$

is a basis of neighbourhoods of the origin in the  $\pi$ -topology on  $E \otimes F$  (see Section 4.2.1). Since  $\mathcal{P}$  and  $\mathcal{Q}$  are directed, the family  $\mathcal{P} \otimes \mathcal{Q} := \{p \otimes q : p \in \mathcal{P}, q \in \mathcal{Q}\}$  is also directed, because

$$\max\{p_1 \otimes q_1, p_2 \otimes q_2\} \leq (\max\{p_1, p_2\}) \otimes (\max\{q_1, q_2\})$$

holds for all  $p_1, p_2 \in \mathcal{P}, q_1, q_2 \in \mathcal{Q}$ . Thus,

$$\mathcal{B}_{\mathcal{P} \otimes \mathcal{Q}} := \{\lambda U_{p \otimes q} : p \in \mathcal{P}, q \in \mathcal{Q}, \lambda > 0\}$$

is a basis of neighbourhoods of the origin on  $E \otimes F$  endowed with the topology generated by  $\mathcal{P} \otimes \mathcal{Q}$ . Hence, it suffices to show that  $\operatorname{conv}_b(\delta U_p \otimes \varepsilon U_q) = (\delta \varepsilon) U_{p \otimes q}$  for all  $p \in \mathcal{P}, q \in \mathcal{Q}$  and all  $\delta > 0, \varepsilon > 0$  as this implies that  $\mathcal{B}_\pi = \mathcal{B}_{\mathcal{P} \otimes \mathcal{Q}}$ .

Let us first show that for any seminorms  $p$  on  $E$  and  $q$  on  $F$  we have

$$U_{p \otimes q} = \operatorname{conv}_b(U_p \otimes U_q). \quad (2)$$

Set  $W_{pq} := \operatorname{conv}_b(U_p \otimes U_q)$ . If  $\theta \in U_{p \otimes q}$ , then  $(p \otimes q)(\theta) \leq 1$ , i.e. for any  $\varepsilon > 0$  there is  $\rho > 0$  such that

$$\theta \in \rho W_{pq} \quad \text{and} \quad \rho < (p \otimes q)(\theta) + \varepsilon \leq 1 + \varepsilon.$$

Since  $W_{pq}$  is balanced by definition, we have that  $\theta \in \rho W_{pq} \subseteq (1 + \varepsilon) W_{pq}$ . Hence, by the arbitrariness of  $\varepsilon$ , we get  $\theta \in W_{pq}$ . Conversely, if  $\theta \in W_{pq} = 1 \cdot W_{pq}$ , then  $(p \otimes q)(\theta) \leq 1$  and so  $\theta \in U_{p \otimes q}$ .

Now, for any  $p \in \mathcal{P}, q \in \mathcal{Q}, \delta > 0, \varepsilon > 0$  we have that  $\delta^{-1}p$  and  $\varepsilon^{-1}q$  are seminorms. Thus,

$$\operatorname{conv}_b(\delta U_p \otimes \varepsilon U_q) = \operatorname{conv}_b(U_{\delta^{-1}p} \otimes U_{\varepsilon^{-1}q}) \stackrel{(2)}{=} U_{(\delta^{-1}p) \otimes (\varepsilon^{-1}q)} = U_{(\delta \varepsilon)^{-1} p \otimes q} = (\delta \varepsilon) U_{p \otimes q},$$

which proves the claim.  $\square$

3)  $E \otimes_{\pi} F$  is Hausdorff if and only if  $E$  and  $F$  are both Hausdorff.

*Proof.* Let  $\mathcal{P}$  (resp.  $\mathcal{Q}$ ) be a family of seminorms generating the topology on  $E$  (resp.  $F$ ) and let  $\mathcal{P} \otimes \mathcal{Q}$  be defined as in Exercise 2). Then, by Proposition 4.3.3 from TVS-I, the spaces  $E, F$  and  $E \otimes_{\pi} F$  are Hausdorff if and only if  $\mathcal{P}, \mathcal{Q}$  and  $\mathcal{P} \otimes \mathcal{Q}$  are separating.

Assume that  $E \otimes_{\pi} F$  is Hausdorff and so that  $\mathcal{P} \otimes \mathcal{Q}$  is separating. Let  $x \in E \setminus \{0\}, y \in F \setminus \{0\}$ . Then  $x \otimes y \neq 0 \in E \otimes F$  and, hence, there are  $p \in \mathcal{P}$  and  $q \in \mathcal{Q}$  such that

$$0 \neq (p \otimes q)(x \otimes y) = p(x)q(y),$$

where the last equality is due to Theorem 4.2.3. Thus,  $p(x) \neq 0$  and  $q(y) \neq 0$ , which imply that the families  $\mathcal{P}$  and  $\mathcal{Q}$  are both separating and so  $E$  and  $F$  are both Hausdorff.

Conversely, assume that  $E$  and  $F$  are Hausdorff. Let  $0 \neq \theta \in E \otimes F$ , say

$$\theta = \sum_{k=1}^r x_k \otimes y_k,$$

where  $x_1, \dots, x_r \in E$  (resp.  $y_1, \dots, y_r \in F$ ) can be assumed to be linearly independent. Since  $E$  and  $F$  are Hausdorff, by Lemma 3.2.10 (a consequence of the Hahn-Banach Theorem), there are  $x' \in E'$  and  $y' \in F'$  such that

$$\langle x', x_1 \rangle = \langle y', y_1 \rangle = 1 \quad \text{and} \quad \langle x', x_k \rangle = \langle y', y_k \rangle = 0 \text{ for } k \geq 2.$$

Then the linear map

$$\begin{aligned} \theta' : E \otimes F &\rightarrow \mathbb{K} \\ \sum_{l=1}^s \xi_l \otimes \eta_l &\mapsto \sum_{l=1}^r \langle x', \xi_l \rangle \langle y', \eta_l \rangle \end{aligned}$$

is continuous w.r.t.  $\pi$ -topology and satisfies  $\langle \theta', \theta \rangle = 1$  by construction. In particular,  $\theta'$  is  $(p \otimes q)$ -continuous for some  $p \in \mathcal{P}$  and  $q \in \mathcal{Q}$ , i.e. there is some  $C > 0$  such that

$$0 < 1 = |\langle \theta', \theta \rangle| \leq C(p \otimes q)(\theta).$$

This yields  $(p \otimes q)(\theta) \neq 0$ . Thus, the family  $\mathcal{P} \otimes \mathcal{Q}$  is separating and the claim follows.  $\square$