

# POSITIVE POLYNOMIALS LECTURE NOTES

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#### 1. THE FINEST LOCALLY CONVEX TOPOLOGY ON A VECTOR SPACE (continued)

Let  $E$  be a vectorspace. There is a finest topology making  $E$  into a locally convex topological vector space. This topology is Hausdorff. It is called the **finest locally convex topology**.

Let  $E$  be a topological vector space.

**Remark 1.1.** Since translation for  $u \in E$ ,  $T_u : X \mapsto X + u$  is a homomorphism of  $E$ . If  $B$  is a base for neighbourhoods of zero then  $u + B$  is a base for all neighbourhoods of  $u$ . Therefore the whole topological structure of  $E$  determined by all neighbourhoods of the origin.

**Definition 1.2.** A function  $p : E \rightarrow [0, \infty)$  is called a seminorm if it has the following properties:

1. Homogeneity:  $p(\lambda X) = |\lambda|p(X)$ ,  $\lambda \in \mathbb{R}$ ;  $X \in E$
2. Subadditivity:  $p(X + Y) \leq p(X) + p(Y) \forall X, Y \in E$ .

If  $p^{-1}(\{0\}) = \{0\}$ , then  $p$  norm.

#### Strategy for proof of the theorem

- **Fact 2.** A family of seminorms induces a local convex topology on  $E$  making it into a topological vector space.
- **Fact 1.** Conversely (1.4+1.6) the topology of an arbitrary local convex topological vector space is always induced by a family of seminorms.

- **Fact 3.** Take all seminorms. It induces a local convex topology making into a topological vector space by Fact 2. It is the finest by Fact 1.

**Definition 1.3.** Let  $A \subset E$ . Then  $A$

1. is **absorbing** if  $\forall X \in E$  there exists  $M > 0$  such that  $X \in \lambda A \forall \lambda \in \mathbb{R}; |\lambda| \geq M$ .
2. is **balanced** if  $\lambda A \subseteq A$  for all  $\lambda \in \mathbb{R}$  with  $|\lambda| \leq 1$ .
3. is **absolutely convex** if it is convex and balanced.

Filter of neighbourhoods of zero just means the collection of all neighbourhoods of zero.

**Proposition 1.4.** Let  $E$  be a topological vector space and  $\mathcal{U} = \{ \text{all neighbourhoods of zero} \}$ . Then

1.  $u \in \mathcal{U}$  is absorbing.
2. for every  $u \in \mathcal{U}$  there exists  $u' \in \mathcal{U}$  with  $u + u' \subseteq \mathcal{U}$ .
3. for every  $u \in \mathcal{U}$ ;

$$b(u) := \bigcap_{|\mu| \geq 1} \mu u \text{ is a balanced neighbourhood of zero contained in } u.$$

It follows that every topological vector space has a base of balanced neighbourhoods of zero.

*Proof.* For every  $X \in E$ , the map:

$$\begin{aligned} \mathbb{R} &\rightarrow E \\ \lambda &\mapsto \lambda X \end{aligned}$$

is continuous at  $\lambda = 0$ . This implies (1).

Similarly the continuity at  $(0, 0)$  of the map

$$\begin{aligned} E \times E &\rightarrow E \\ (X, Y) &\mapsto X + Y \end{aligned}$$

implies (2).

By continuity of

$$\begin{aligned} \mathbb{R} \times E &\rightarrow E \\ (\lambda, X) &\mapsto \lambda X \end{aligned}$$

So given  $u \in \mathcal{U}$  there exists  $\epsilon > 0$  and  $v \in \mathcal{U}$  such that  $\lambda v \subseteq u$  for  $|\lambda| \leq \epsilon$ . Therefore  $\epsilon v \subseteq b(u) \subseteq u$ . So  $u$  contains a balanced set  $b(u)$  which is a neighbourhood of zero because  $\epsilon v$  is a neighbourhood of zero;  $X \mapsto \epsilon X$  being a homomorphism of  $E$ .  $\square$

**Proposition 1.5.** Let  $E$  be a locally convex topological vector space then the filter collection of neighbourhoods of zero has a base  $\mathcal{B}$  with the following properties:

1. Every  $u \in \mathcal{B}$  is absorbing and absolutely convex.
2. If  $u \in \mathcal{B}$  and  $0 \neq \lambda \in \mathbb{R}$  then  $\lambda u \in \mathcal{B}$ .

*Proof.* If  $u$  is a neighbourhood of zero then  $b(u)$  is absolutely convex (by proposition 1.2). So if  $\mathcal{B}_0$  is a base of convex neighbourhoods of zero then the family  $\mathcal{B} := \{\lambda b(u) | u \in \mathcal{B}_0; \lambda \neq 0\}$  is a base satisfying (1) and (2).  $\square$

Converse of the above proposition: Let  $E$  have a base for a filter on  $E$  with properties (1) and (2) there is a unique topology on  $E$  such that  $E$  is a locally convex topological vector space with  $\mathcal{B}$  as a base of neighbourhoods of zero.

### 1.1. CONNECTION TO SEMINORMS

**Remark 1.6.** If  $p$  is a seminorm and  $\alpha > 0$  then the set  $\{X \in E | p(X) < \alpha\}$  is convex and absorbing.

*Proof.* Exercise  $\square$

Let  $E$  be a vector space. Associating a seminorm candidate to a subset of  $E$ : For  $A \neq \emptyset, A \subseteq E$  define a mapping:

$$p_A : E \rightarrow [0, \infty]$$

$$p_A(X) := \inf\{\lambda > 0 | X \in \lambda A\}$$

(where  $p_A(X) = \infty$  if the set  $p_A(X)$  is empty).

When  $p_A$  is seminorm?

**Lemma 1.7.** If  $A \neq \emptyset, A \subseteq E$  is

1. absorbing; then  $p_A(X) < \infty$  for all  $X \in E$ .
2. convex, then  $p_A$  is subadditive.
3. balanced then  $p_A$  is homogeneous and  $\{X \in E | p_A(X) < 1\} \subseteq A \subseteq \{X \in E | p_A(X) \leq 1\}$ .

If  $A$  satisfies (1)-(3) then  $p_A$  is called the seminorm determined by  $A$ .

**Proposition 1.8.** Let  $E$  be a vector space and  $(P_i)_{i \in I}$  a family of seminorms. There exists a coarsest topology on  $E$  with the properties that  $E$  is a topological vector space and each  $P_i$  is continuous under this topology  $E$  is locally convex and the familie of sets  $\{X \in E | p_{i_1} < \epsilon, \dots, p_{i_n} < \epsilon\}$  for all  $\{i_1, \dots, i_n\} \in I$  and  $n \in \mathbb{N}, \epsilon > 0, \epsilon \in \mathbb{R}$  is a base for the (filter of) neighbourhoods of zero.

*Proof.* Later □

**Proposition 1.9.** The topology of an arbitrary locally convex tpological vector space  $E$  is always induced by a family of seminorms.

*Proof.* By proposition 1.4 let  $\mathcal{B}$  be the base for neighbourhoods of zero with properties ((i) absorbing and absolutely convex and (ii)  $u \in \mathcal{B}, \lambda \neq 0 \Rightarrow \lambda u \in \mathcal{B}$ ).

Now consider the family  $\{p_u | u \in \mathcal{B}\}$ . By lemma 1.6 this is a family of seminorms (Moreover since  $u$  is open we actually have  $u = \{X \in E | p_u(X) < 1\}$ ). Verify that the topology induced by this family of seminorms (as described in Fact 1) Coincides with the given topology  $E$ . □