

### MODEL THEORY – EXERCISE 7

To be submitted on Wednesday 25.05.2011 by 14:00 in the mailbox.

**Definition.**

- (1) Let  $A$  be a set, and  $F \subseteq \mathcal{P}(A)$  is called a *filter* on  $A$  if the following holds:
  - (a)  $\emptyset \notin F$ .
  - (b)  $F \neq \emptyset$ .
  - (c) If  $X, Y \in F$  then  $X \cap Y \in F$ .
  - (d) If  $X \in F$  and  $X \subseteq Y \subseteq A$  then  $Y \in F$ .
- (2)  $F$  is said to be a *principle filter* if there exists some  $X \subseteq A$  such that  $F = \{Y \subseteq A \mid Y \supseteq X\}$ .
- (3)  $F$  is said to be an *ultrafilter* if for every  $X \subseteq A$ ,  $X \in F$  or  $A \setminus X \in F$ .
- (4) Let  $A$  be an infinite set. A family  $C \subseteq \mathcal{P}(A)$  is called *independent* if for every  $n, m \in \mathbb{N}$  and  $X_1, \dots, X_n \in C$ ,  $Y_1, \dots, Y_m \in C$  such that no two are equal,  $\bigcap_i X_i \cap \bigcap_j (A \setminus Y_j) \neq \emptyset$ .
- (5) A structure  $M$  is said to be *countably saturated* if given a set  $\{\varphi_n(x) \in L(M) \mid n < \omega\}$  such that each finite subset has a solution  $a_n$ , then  $\{\varphi_n(x) \mid n < \omega\}$  has a common solution  $a$ . (Recall that  $L(M)$  is the signature  $L$  where we also allow parameters from  $M$ ).

**Question 1.**

- (1) Suppose  $F$  is a filter on a set  $A$  and there exists some finite  $X \subseteq A$  such that  $X \in F$ . Show that  $F$  is principle.  
 Solution: Let  $X_0 \subseteq X$  be the smallest set such that  $X_0 \in F$ . Then  $F$  is generated by  $X_0$ : if  $Y \in F$ , then  $Y \cap X_0 \in F$  but it cannot be that  $Y \cap X_0 \subsetneq X_0$ .
- (2) Show that if  $F$  is a principle ultrafilter on a set  $A$  then there exists  $a \in A$  such that  $F = \{X \in \mathcal{P}(A) \mid X \supseteq \{a\}\}$ .  
 Solution: suppose  $F$  is generated by  $X$ . Let  $a \in X$  (note that  $X \neq \emptyset$ ). It cannot be that  $A \setminus \{a\} \in F$ .
- (3) Suppose  $F$  is a principle ultrafilter on a set  $A$ , and that  $M_i$  for  $i \in A$  is an  $L$ -structure. Show that the ultra-product  $\prod_{i \in A} M_i / F$  is isomorphic to  $M_{i_0}$  for some  $i_0 \in A$ .  
 Solution: by (2) there is some  $i_0$  that generates  $F$ . Map  $\langle x_i \mid i/A \rangle / D$  to  $x_{i_0}$ .
- (4) Suppose  $A$  is an infinite set. Let  $I$  be the set of all finite subsets of  $A$ . For each  $s \in I$ , let  $X_s = \{t \in I \mid t \supseteq s\}$ . Show that  $\{X \subseteq A \mid \exists s \in I (X \supseteq X_s)\}$  is a filter on  $A$ .  
 Solution:  $X_s \cap X_t = X_{s \cup t}$ .

**Question 2.**

Let  $A$  be an infinite set. Compute the cardinality of the set  $U = \{D \mid D \text{ is an ultrafilter on } A\}$ . Use the following steps:

- (1) Show that  $|U| \leq 2^{2^{|A|}}$ .  
 Solution:  $U \subseteq \mathcal{P}(\mathcal{P}(A))$ .
- (2) Show that there exists a family  $C \subseteq \mathcal{P}(A)$ , such that  $|C| = 2^{|A|}$  and if  $X_1 \neq X_2 \in C$  then  $X_1 \subsetneq X_2$ ,  $X_2 \subsetneq X_1$ .  
 Hint: look at graphs of functions, use the fact that  $|A|^2 = |A|$ .  
 Solution: let  $f : A^2 \rightarrow A$  be an isomorphism. For each function  $r : A \rightarrow A$ ,  $f[r] \subseteq A$ . Let  $C = \{f[r] \mid r : A \rightarrow A\}$ . If  $r_1 \neq r_2$ , then there is some  $a \in A$  such that  $r_1(a) \neq r_2(a)$ ,  $(a, r_1(a)) \notin r_2$  while  $(a, r_2(a)) \notin r_1$ .
- (3) Show that there exists an independent family  $C \subseteq \mathcal{P}(A)$  of subsets of  $A$  of cardinality  $2^{|A|}$ .  
 Hint: let  $C_0$  be as above. Let  $M = \omega \times A^{<\omega}$  (where  $A^{<\omega}$  is the set of finite sequences from  $A$ ). For all  $X \in C_0$ , let  $\bar{X}$  be the set of pairs  $(n, \bar{a})$  from  $M$  such that: the length of  $\bar{a}$  is divisible by  $n$ , so  $\bar{a} = \bar{a}_0 \frown \dots \frown \bar{a}_{k-1}$  where  $\text{lg}(\bar{a}_i) = n$ , and there is  $i < k$  such that all elements from  $\bar{a}_i$  are in  $X$ . Show that  $\{\bar{X} \mid X \in C\}$  is an independent set in  $M$  and conclude.  
 Solution: By a theorem from class  $|M| = |A|$ . If  $X_0, \dots, X_{n-1}, Y_0, \dots, Y_{m-1} \in C_0$  as in the definition, for each pair  $i < n, j < m$ , let  $a_{i,j} \in X_i \setminus Y_j$ . For  $i < n$ , let  $\bar{a}_i = \langle a_{i,0}, a_{i,1}, \dots, a_{i,m-1} \rangle$ . Finally,  $(n, \bar{a}_0 \frown \dots \frown \bar{a}_{n-1}) \in \bar{X}_i$  for  $i < n$  but not in  $Y_j$  for  $j < m$ .
- (4) Conclude that  $|U| = 2^{2^{|A|}}$ .  
 Solution: For every choice  $s$  of a subset of  $C$ , we can find an ultrafilter that contains  $\{X \mid X \in s\} \cup \{A \setminus X \mid X \notin s\}$ . It satisfies the finite intersection property, hence is contained in an ultrafilter.

**Question 3.**

- (1) Suppose  $A$  is an infinite set, and  $D$  is a filter on an infinite set  $I$ . Show that  $|A| \leq |A^I/D| \leq |A|^{|I|}$ .  
 Solution: Let  $\varphi : A \rightarrow A^I$  be the diagonal embedding. Now if  $a \neq b$ , then  $\varphi(a)/D \neq \varphi(b)/D$  (the set of indices where they are equal is  $\emptyset$ ).
- (2) Show that for every  $A$  and  $I$  there exists an ultrafilter on  $I$  such that  $|A^I/D| = |A|$ .  
 Solution: take a principle ultrafilter.
- (3) Let  $D$  be a non-principle filter on  $\omega$ . Show that  $|\mathbb{N}^\omega/D| = 2^{\aleph_0}$ .  
 Hint: use the fact that every natural number has a unique presentation in base 2.  
 Solution: By (1), we have  $\leq \aleph_0^{\aleph_0} \leq (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0}$ . For the other direction, for every function  $f : \omega \rightarrow 2$ , let  $n_i^f = \sum_{j < i} 2^j f(j)$ . If  $f_1 \neq f_2$ , then  $n_i^{f_1} \neq n_i^{f_2}$  for big enough  $i$ , and this set of indices is cofinite, so it cannot be that its complement is in  $D$ .

**Question 4.**

Prove the following:

Let  $\{A_i \mid i \in \mathbb{N}\}$  be structures in a signature  $L$ . Let  $D$  be an ultrafilter containing the filter of co-finite sets, and let  $A$  be the ultraproduct. Then  $A$  is countably saturated.

Follow these steps:

- (1) We are given a set  $\{\varphi_n(x) \in L(M) \mid n < \omega\}$  as in the definition, and we want to find a common solution. Show that it's enough to show it assuming  $\varphi_n \in L$  (i.e. no parameters).

Solution: Add the parameters appearing in this set to  $L$ , interpret them in  $A_i$  in the obvious way:  $c^{A_i} = c^A(i)$ .

- (2) For  $n < \omega$ , pick  $a_n \in A_n$  such that  $A_n \models \varphi_1(a_n), \dots, \varphi_k(a_n)$  for  $k \leq k(n)$ , where  $k(n) \leq n$  is as large as possible (can be 0). Show that  $\langle a_n \mid n \in \omega \rangle / D$  is a common solution.

Solution: we must show that  $\{n \mid A_n \models \varphi_n(a_n)\}$  is in  $D$ .