§3 Grassmannians

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$$G(k, n) = \{ U \subset K^n : U \text{ is a k-dimensional subspace of } K^n \}$$

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By definition,

$$G(1, n) = \mathbb{P}^{n-1}.$$

Since a k-dimensional subspace of K^n can be identified with a k - 1-dimensional subspace of \mathbb{P}^{n-1} , we will also use the notation

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The first goal is to show that the Grassmannians can be realized as projective varieties.

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For projective space, a homogeneous coordinate-tuple $[Z_0, \ldots, Z_n]$ represents an equivalence class of points in \mathbb{A}^{n+1} , namely all points on the same line through the origin. This equivalence can be seen as coming from a **group action**. The multiplicative group K^* acts on $\mathbb{A}^{n+1} \setminus \{0\}$ by scalar multiplication and each point of \mathbb{P}^n corresponds to an **orbit** of this action, in other words, \mathbb{P}^n is the **quotient space** $(\mathbb{A}^{n+1} \setminus \{0\})/K^*$.

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The group $GL_k(K)$ acts on this space by multiplication from the left:

$$\begin{pmatrix} \lambda_{1,1} \cdots \lambda_{1,k} \\ \vdots & \ddots & \vdots \\ \lambda_{k,1} \cdots & \lambda_{k,k} \end{pmatrix} \cdot \begin{pmatrix} a_{1,1} & a_{1,2} \cdots & a_{1,n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{k,1} & a_{k,2} \cdots & a_{k,n} \end{pmatrix}$$

and two $k \times n$ -matrices have the same row span if and only if they are in the same orbit under this group action. So we can identify G(k, n) with the quotient space

$$\operatorname{Mat}_{k \times n}^{(k)}(K) / \operatorname{GL}_k(K).$$

where $Mat^{(k)}$ is the set of matrices of rank k.

Looking further at the group action

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we see that if the first $k \times k$ -minor of the matrix on the right is non-zero, the orbit contains a unique element of the form

$$\begin{pmatrix} 1 & 0 & \cdots & 0 & b_{1,1} & b_{1,2} & \cdots & b_{1,n-k} \\ 0 & 1 & \cdots & 0 & b_{2,1} & b_{2,2} & \cdots & b_{2,n-k} \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 1 & b_{k,1} & b_{k,2} & \cdots & b_{k,n-k} \end{pmatrix}$$

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But this involved a choice coming from the assumption that the *first* $k \times k$ -minor is non-zero. In general, we have to permute columns first. So we see in this way that the Grassmannian G(n, k) is covered by $\binom{n}{k}$ copies of affine spaces $\mathbb{A}^{k(n-k)}$. (Note the analogy with projective space!)

In particular, whatever the Grassmannian is as a variety, it must be of dimension k(n-k).

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Let V be a vector space of finite dimension n. The **tensor algebra** is the non-commutative algebra $T(V) = \bigoplus_{k \ge 0} V^{\otimes k}$, where $V^{\otimes k}$ is the k-th tensor power of V, spanned by all tensors $v_1 \otimes \cdots \otimes v_k$ with $v_1, \ldots, v_k \in V$. The product in T(V) is given by the tensor product, i.e. it the map $V^{\otimes k} \times V^{\otimes \ell} \to V^{\otimes k+\ell}$, defined as the bilinear extension of $(v_1 \otimes \cdots \otimes v_k, w_1 \otimes \cdots \otimes w_\ell) \mapsto v_1 \otimes \cdots \otimes v_k \otimes w_1 \otimes \cdots \otimes w_\ell$.

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The **exterior algebra** $\land V$ is the residue class ring of T(V) modulo the ideal generated by all tensors of the form $v \otimes v$ for $v \in V$. The residue class of a basis tensor $v_1 \otimes \ldots \otimes v_k$ is denoted

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We call the elements of $\bigwedge V$ **multivectors**. The exterior algebra inherits the grading from the tensor algebra, i.e. it has a decomposition $\bigwedge V = \bigoplus \bigwedge^k V$, where $\bigwedge^k V$ is spanned by all multivectors of the form $v_1 \land \cdots \land v_k$ for $v_1, \ldots, v_k \in V$. In particular, $\bigwedge^1 V = V$ and $\bigwedge^0 V = K$.

The algebra $\wedge V$ has the following properties for all $\omega, \eta, \vartheta \in \wedge V, \alpha \in K$.

(1) $\omega \land (\eta \land \vartheta) = (\omega \land \eta) \land \vartheta$ (Associativity) (2) $\omega \land (\eta + \vartheta) = \omega \land \eta + \omega \land \vartheta, (\omega + \eta) \land \vartheta = \omega \land \vartheta + \eta \land \vartheta$ (Bilinearity) (3) $\alpha(\omega \land \eta) = (\alpha \omega) \land \eta = \omega \land (\alpha \eta)$ (4) $0 \land \omega = \omega \land 0 = 0$ Futhermore, for all $v \in V = \bigwedge^1 V$, we have (5) $v \land v = 0$. (Antisymmetry)

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From $(v+w) \land (v+w) = 0$, it follows that $v \land w = -v \land w$ (which is equivalent to (5) if char $(K) \neq 2$) and by induction $v_1 \land \cdots \land v_k = \operatorname{sgn}(\sigma)(v_{\sigma(1)} \land \cdots \land v_{\sigma(k)})$ for all permutations $\sigma \in S_k$.

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Now let v_1, \ldots, v_n be a basis of V . Then we can use bilinearity to expand every multivector in

 $\wedge V$ in terms of this basis. Explicitly, we obtain

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for $k \leq n$. In particular, we see that every multivector in $\bigwedge^n V$ is a multiple of $v_1 \land \dots \land v_n$, with the coefficient of a multivector of the form $w_1 \land \dots \land w_n$ given by the determinant of the coefficient matrix of w_1, \dots, w_n in terms of the basis v_1, \dots, v_n .

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Note that, because of (5), we never need repeated basis elements. In particular, we find

$$\dim \bigwedge^k V = \binom{n}{k}$$

for all $k \leq n$ and $\bigwedge^k V = 0$ for all k > n.

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The map ψ is injective. To see this, let

$$L_{\omega} = \{ v \in V : \omega \land v = 0 \}$$

for any $\omega \in \bigwedge^k V$. This is a linear subspace of V. For $\omega = v_1 \land \dots \land v_k$ as above, we find $L_{\omega} = W$ (see also the lemma on the next slide). So $\omega \mapsto L_{\omega}$ is the inverse of ψ (on its image).

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It remains to show that the totally decomposable multivectors form a closed subset of $\mathbb{P}(\wedge^k V)$ and to find the equations that describe it.

Lemma 3.1. Let $\omega \in \bigwedge^k V$, $\omega \neq 0$. The space $L_\omega = \{v \in V : \omega \land v = 0\}$ has dimension at most k, with equality occuring if and only if ω is totally decomposable.

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Proof. Pick a basis v_1, \ldots, v_s of L_{ω} and extend to a basis v_1, \ldots, v_n of V. We express ω in this basis: For any choice of indices $I = \{i_1, \ldots, i_k\}$ with $1 \le i_1 < \cdots < i_k \le n$ let $\omega_I = v_{i_1} \land \cdots \land v_{i_k}$. Then ω can be written as

$$\omega = \sum_{I \subset \{1, \dots, n\}, |I| = k} c_I \omega_I$$

for some scalars $c_I \in K$. For $j \in \{1, \ldots, n\}$, we find

$$\omega \wedge \nu_j = \sum c_I \omega_I \wedge \nu_j = \sum_{I: j \notin I} c_I \omega_I \wedge \nu_j.$$

Now for $j \leq s$, we have $v_j \in L_{\omega}$ and the equation $\omega \wedge v_j = 0$ shows $c_I = 0$ for all I with $j \notin I$. In other words, all I with $c_I \neq 0$ must contain $\{1, \ldots, s\}$. If s > k, there is no such I of length k, contradicting the fact that $\omega \neq 0$. If s = k, then there is exactly one such I, namely $I = \{1, \ldots, k\}$, hence ω is a multiple of $v_1 \wedge \cdots \wedge v_k$. Conversely, if ω is totally decomposable, say $\omega = w_1 \wedge \cdots \wedge w_k$, then $w_1, \ldots, w_k \in L_{\omega}$, hence dim $L_{\omega} \geq k$.

Lemma 3.1. Let $\omega \in \bigwedge^k V$, $\omega \neq 0$. The space $L_\omega = \{v \in V : \omega \land v = 0\}$ has dimension at most k, with equality occuring if and only if ω is totally decomposable.

This will be all we need: Fix $\omega \in \bigwedge^k V$, $\omega \neq 0$ and consider the map

$$\varphi(\omega): \begin{cases} V \to \bigwedge^{k+1} V \\ v \mapsto \omega \wedge v \end{cases}$$

By the lemma, we have $[\omega] \in G(k, V)$ if and only if the rank of $\varphi(\omega)$ is at most n - k. The map $\bigwedge^k V \to \text{Hom}(V, \bigwedge^{k+1} V)$ given by $\omega \mapsto \varphi(\omega)$ is linear. If we fix coordinates by fixing a basis of V, this means that the matrix $A(\omega)$ describing $\varphi(\omega)$ has linear entries, i.e. entries that are homogeneous of degree 1 in the coordinates. Therefore, G(k, V) is defined by the vanishing of all $(n - k + 1) \times (n - k + 1)$ -minors of this matrix. We have proved:

Theorem 3.2. The Grassmannian G(k, V) is a projective variety, embedded as a closed subset of $\mathbb{P}(\bigwedge^k V)$ under the Plücker embedding.

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Fix a basis of v_1, \ldots, v_n of V and the corresponding basis $v_{i_1} \wedge \cdots \wedge v_{i_k}$, $1 \leq i_1 < \cdots < i_k \leq n$ of $\bigwedge^k V \cong K^{\binom{n}{k}}$. If a subspace W is represented as the row span of a $k \times n$ -matrix A, the formula

$$\left(\sum a_{i,1}v_i\right)\wedge\cdots\wedge\left(\sum a_{i,k}v_i\right)=\sum_{1\leqslant i_1<\cdots< i_k\leqslant n} \left|\begin{array}{cc}a_{i_1,1}\cdots a_{i_1,k}\\ \vdots & \vdots\\ a_{i_k,1}\cdots a_{i_k,k}\end{array}\right|v_{i_1}\wedge\cdots\wedge v_{i_k},$$

which we saw earlier, shows what the Plücker embedding does in these coordinates: It maps the matrix A to the tuple of all $k \times k$ -minors of A (of which there are $\binom{n}{k} = \dim(\bigwedge^k V)$.

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The Plücker embedding of G(k, n) **as a space of matrices is given by the** $k \times k$ **-minors**. The relations between these minors corresponding to the equations of G(k, n) in $\mathbb{P}(\bigwedge^k V)$ are the **Plücker relations**.

We have seen how the Grassmannian G(k, n) is covered by $\binom{n}{k}$ copies of $\mathbb{A}^{k(n-k)}$. Let us see what that corresponds to under the Plücker embedding.

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First, there is an abstract description:

Let Γ be any subspace of dimension n - k of V, corresponding to a multivector $\eta \in \bigwedge^{n-k} V$. The set

 $H_{\Gamma} = \left\{ W \in G(k, V) : \Gamma \cap W \neq \{0\} \right\}$

is a hyperplane in G(k, V). Namely, if $W = [\omega]$ for $\omega \in \bigwedge^k V$, then $\Gamma \cap W \neq \{0\}$ is equivalent to $\omega \wedge \eta = 0$. Since $\omega \wedge \eta$ is an element of $\bigwedge^n V$, which is one-dimensional, we can identify $\bigwedge^n V$ with K and thus interpret η as a linear form on $\bigwedge^k V$ given by $\omega \mapsto \omega \wedge \eta$. (Indeed, this amounts to a natural isomorphism $\bigwedge^{n-k} V \cong \bigwedge^k V^*$, up to scaling.)

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Thus H_{Γ} is the hyperplane defined by η , so that $U_{\Gamma} = \mathbb{P}(\bigwedge^{k} V) \smallsetminus H_{\Gamma}$ is an affine space. The intersection $G(k, V) \cap U_{\Gamma}$ thus corresponds to all k-dimensional subspaces of V that are complementary to Γ . Fix some k-dimensional subspace W_{0} of V complementary to Γ . Then any other such subspace W can be viewed as the graph of a linear map $W_{0} \rightarrow \Gamma$, and vice-versa. (Given W, the corresponding map is $w_{0} \mapsto \gamma$, where $\gamma \in \Gamma$ is the unique element with $w_{0} + \gamma \in W$. Conversely, given $\alpha \colon W_{0} \rightarrow \Gamma$, let $W = \{w_{0} + \alpha(w_{0}) \colon w_{0} \in W_{0}\}$.) Since $W_{0} \cong K^{k}$ and $\Gamma \cong K^{n-k}$, we find

$$G(k, V) \cap U_{\Gamma} \cong \operatorname{Hom}(W_0, \Gamma) \cong \operatorname{Mat}_{k \times (n-k)}(K) = \mathbb{A}^{k(n-k)}.$$

Now let $V = K^n$ and $\Gamma = \text{span}(e_{k+1}, \ldots, e_n)$. Then any subspace W complementary to Γ has a unique basis given by the rows of a $k \times n$ -matrix of the form

$$A = \begin{pmatrix} 1 & 0 & \cdots & 0 & b_{1,1} & b_{1,2} & \cdots & b_{1,n-k} \\ 0 & 1 & \cdots & 0 & b_{2,1} & b_{2,2} & \cdots & b_{2,n-k} \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 1 & b_{k,1} & b_{k,2} & \cdots & b_{k,n-k} \end{pmatrix}.$$

This yields a bijection of $G(k, n) \cap U_{\Gamma}$ with $\mathbb{A}^{k(n-k)}$.

Under the Plücker embedding, we know that A is mapped to the tuple of all its $k \times k$ -minors. But since the left part of A is the identity, the $k \times k$ -minors of A are really just the minors of the matrix B of *any* size. Hence the Plücker embedding of $G(k, n) \cap U_{\Gamma}$ is given by all the minors of the matrix B.

Finally, since the affine parts $G(k, n) \cap U_{\Gamma}$ are irreducible open subsets of dimension k(n - k) and have pairwise non-empty intersection, we conclude:

Corollary 3.3. The Grassmannian G(k, n) is an irreducible variety of dimension k(n - k).

The Grassmannian $\mathbb{G}(1,3)$

The Grassmannian $\mathbb{G} = \mathbb{G}(1,3) = G(2,4)$ parametrizes lines in \mathbb{P}^3 . The Plücker embedding puts \mathbb{G} into $\mathbb{P}(\wedge^2 K^4) \cong \mathbb{P}^5$. Writing $z_{ij} = v_i \wedge v_j$, $0 \leq i < j \leq 3$, the image is the quadratic hypersurface

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This and the following statements will be shown in the exercises.

Proposition 3.4. For any point $p \in \mathbb{P}^3$ and plane $H \subset \mathbb{P}^3$ with $p \in H$, let $\Sigma_{p,H} \subset \mathbb{G}$ be the set of lines in \mathbb{P}^3 passing through p and lying in H. Under the Plücker embedding, $\Sigma_{p,H}$ is a line in \mathbb{P}^5 . Conversely, every line in $\mathbb{G} \subset \mathbb{P}^5$ is of the form $\Sigma_{p,H}$ for some choice of p, H.

Proposition 3.5. For any point $p \in \mathbb{P}^3$, let $\Sigma_p \subset \mathbb{G}$ be the set of lines in \mathbb{P}^3 passing through p; for any plane $H \subset \mathbb{P}^3$, let $\Sigma_H \subset \mathbb{G}$ be the locus of lines lying in H. Under the Plücker embedding, both Σ_p and Σ_H are carried into planes in \mathbb{P}^5 . Conversely, any plane $\Lambda \subset \mathbb{G} \subset \mathbb{P}^5$ is either of the form Σ_p for some point p or of the form Σ_H for some plane H.

Proposition 3.6. Let $\ell_1, \ell_2 \subset \mathbb{P}^3$ be skew lines (i.e. $\ell_1 \cap \ell_2 = \emptyset$). The set $Q \subset \mathbb{G}$ of lines in \mathbb{P}^3 meeting both is the intersection of \mathbb{G} with a three-dimensional subspace of \mathbb{P}^5 .

Let $\mathbb{G}(k, n)$ be the Grassmannian of k-subspaces in \mathbb{P}^n and put

 $\Sigma = \{(\Lambda, x) \in \mathbb{G}(k, n) \times \mathbb{P}^n : x \in \Lambda\}.$

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So Σ is the subvariety of $\mathbb{G}(k, n) \times \mathbb{P}^n$ whose fibre over a point $\Lambda \in \mathbb{G}(k, n)$ is just Λ itself as a subset of \mathbb{P}^n . To see that Σ is closed, it suffices to note that

$$\Sigma = \{(v_1 \wedge \cdots \wedge v_k, w) : v_1 \wedge \cdots \wedge v_k \wedge w = 0\}.$$

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Proof. We have

 $C_k(X) = \pi_1(\pi_2^{-1}(X)).$

The variety $C_k(X)$ is called the **variety of incident subspaces**.

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Proposition 3.9. Let $X, Y \subset \mathbb{P}^n$ be two disjoint projective varieties. Let J(X, Y) be the union of all lines \overline{pq} with $p \in X, q \in Y$, called the **join of** X **and** Y. Then J(X, Y) is closed in \mathbb{P}^n .

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Let $X \subset \mathbb{P}^n$ be a projective variety. Then $F_k(X) = \{\Lambda \in \mathbb{G}(k, n) : \Lambda \subset X\}$ is the variety of k-subspaces contained in X, called the *k*th Fano variety of X.

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Proof. Let $X = \mathcal{V}(H_1, \ldots, H_r)$. We fix an (n-k)-subspace Γ of K^{n+1} and consider the affine open subset U_{Γ} of $\mathbb{G}(k, n) = G(k+1, n+1)$ of (k+1)-subspaces complementary to Γ . We determine explicit equations for $U_{\Gamma} \cap F_k(X)$. After changing coordinates, we may assume as before that Γ is spanned by e_{k+1}, \ldots, e_n . We have seen that any subspace in $G(k+1, n+1) \cap U_{\Gamma}$ is uniquely represented as the row span of a matrix

$$A = \begin{pmatrix} 1 & 0 & \cdots & 0 & b_{0,1} & b_{0,2} & \cdots & b_{0,n-k} \\ 0 & 1 & \cdots & 0 & b_{1,1} & b_{1,2} & \cdots & b_{1,n-k} \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 1 & b_{k,1} & b_{k,2} & \cdots & b_{k,n-k} \end{pmatrix}.$$

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The entries $b_{i,j}$ are regular functions (even coordinates) on U_{Γ} via the Plücker embedding. For $\lambda \in K^{k+1}$, let $a(\lambda) = \sum_{i=0}^{k} \lambda_i a_{i\bullet}$, where $a_{i\bullet}$ is the *i*th row vector of *A*. Then the subspace spanned by the rows of *A* is contained in *X* if and only if

 $H_i(a(\lambda)_0,\ldots,a(\lambda)_n)=0$

for all $\lambda \in K^{k+1}$ and i = 1, ..., r. Taking coefficients in λ , this amounts to a set of polynomial equations in the cordinates $b_{i,j}$, which defines $F_k(X)$ in U_{Γ} .

Let $Q = \mathcal{V}(Z_0Z_3 - Z_1Z_2)$ be a quadratic surface in \mathbb{P}^3 . The surface Q contains two families of linear subspaces, which can be seen in the real affine picture on the right. This corresponds to the fact that Q is exactly the Segre embedding of $\mathbb{P}^1 \times \mathbb{P}^1$, so the two families of lines are $\{p\} \times \mathbb{P}^1$ and $\mathbb{P}^1 \times \{q\}$, for $p, q \in \mathbb{P}^1$.



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Instead of doing the computation by hand, we are lazy and ask Macaulay2. i1 : R = QQ[Z0,Z1,Z2,Z3]; i2 : F = Fano(1,ideal(Z0*Z3-Z1*Z2)) o2 = ideal (pp, pp + pp, pp, pp - pp, 45 25 35 15 24 34 3 4 3 1 4 pp + pp , p - pp - pp , pp - pp , pp + pp , 14 05 2 14 05 12 13 02 03 o2 : Ideal of QQ[p , p , p , p , p , p] 0 1 2 3 4 5 i3 : decompose F $o3 = \{ideal (p - p, p, p, - p + pp), ideal (p + p, p, 2 3 5 0 3 14 2 3 4$

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i1 :
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o2 = ideal (p p, p p + p p, p p, p p - p p, p p, p - p p - p p, p p + p p, p p)
14 05 2 14 05 12 13 02 03 01
o2 : Ideal of QQ[p, p, p, p, p, p, p]
0 1 2 3 4 5
i3 : decompose F
o3 = {ideal (p - p, p, p, p, - p + p p), ideal (p + p, p, p, - p + p p)}
2 3 5 0 3 14 2 3 4 1 3 05

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Conclusion.

 $F_1(Q)$ is the union of two plane quadrics in $\mathbb{G}(1,3) \subset \mathbb{P}^5$, one for each of to the two families of lines in Q.